

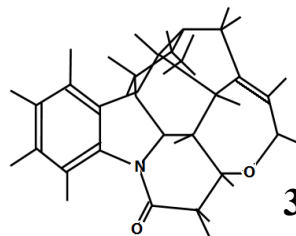
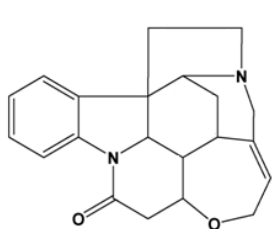
# A BEGINNER'S PRIMER ON NOE MEASUREMENT INSIGHTS INTO 3D STRUCTURE

JEOL RESONANCE Inc.  
(Translated by Pavlos Stampoulis)

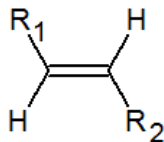
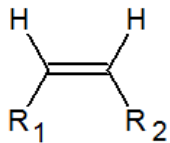
# Introduction

## NOE : Nuclear Overhauser Effect

Because NOE can reveal 1H spins that are in proximity in 3D space, it is often used to assist research on the stereochemistry of organic compounds

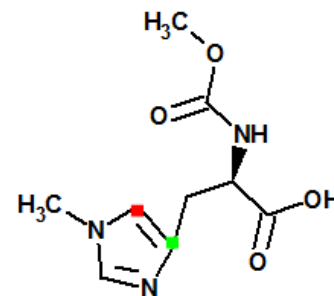
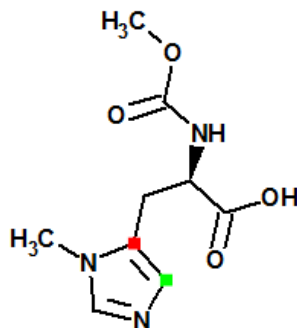


3D structure



*cis* ?   *trans* ?

Configuration of olefins



Position of substituent

**This is a lecture on NOE as applied in small organic compounds**

# Contents

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## 1. A General Description of NOE

## 2. Types of NOE Measurement and Their Characteristics

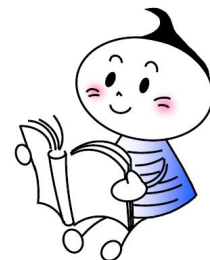
## 3. Caveats on NOE Measurement and Analysis

- Sample preparation
- Dealing with a situation where NOE exists but is not observed
- Sidestepping misinterpretation traps



# NOE as mentioned in a textbook ..

When a spin is saturated with a Radiofrequency pulse, the signal of that spin and the signal of the spins in spacial proximity to it , all change intensity. This NMR phenomenon is called NOE.

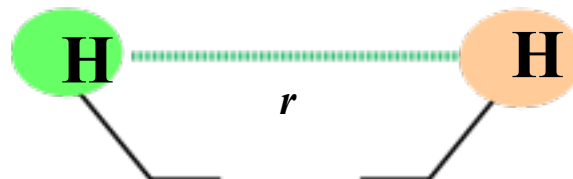
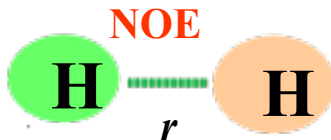


spin



(also known as cross-saturation)

**NOE is a relaxation phenomenon caused by dipole-dipole interactions**



( $r$ : spin-spin distance)

For  $r < 6\text{\AA}$   
NOE can be observed

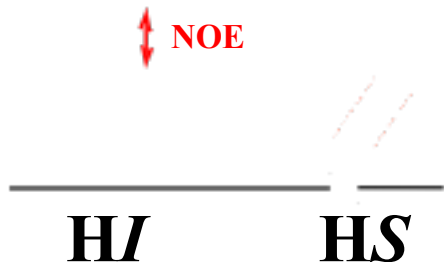
**NOE  $\propto r^{-6}$**

**NOE depends on the spin-spin distance and the tumbling of the molecule**

# The Difference NOE experiment

When a spin is saturated with a Radiofrequency pulse, the signal of that spin and the signal of the spins in spacial proximity to it , all change intensity. This NMR phenomenon is called NOE.

(A) With Saturation

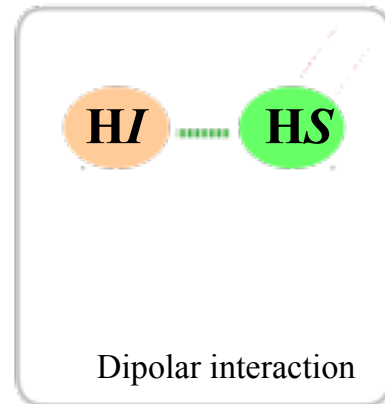


(B) Without Saturation



(A) - (B)  
difference  
spectrum

↑ NOE

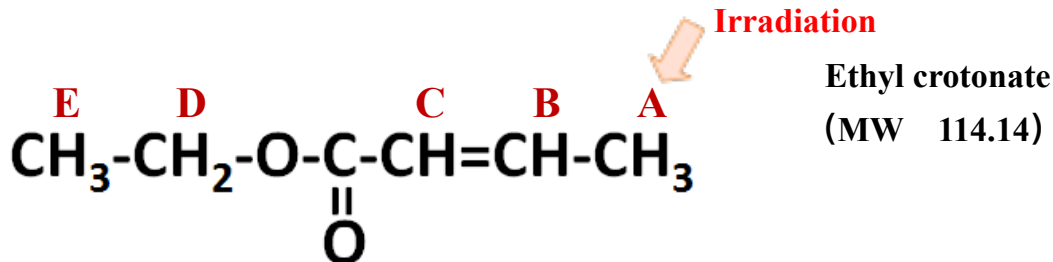


Only the saturated and the nearby signals are observed in the spectrum

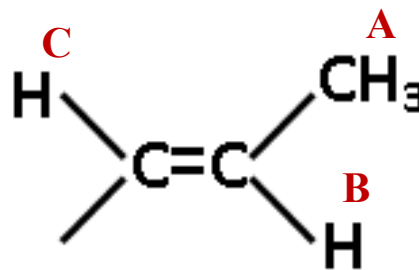
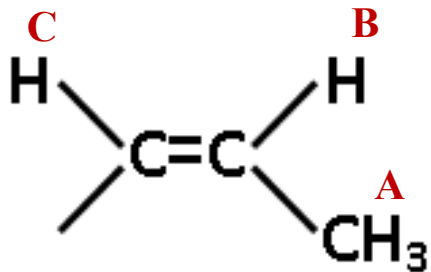
The experiment records **Steady state NOE**

# The Difference NOE experiment

Determination of double-bond stereoisomers  
(*cis*, *trans* isomerism)

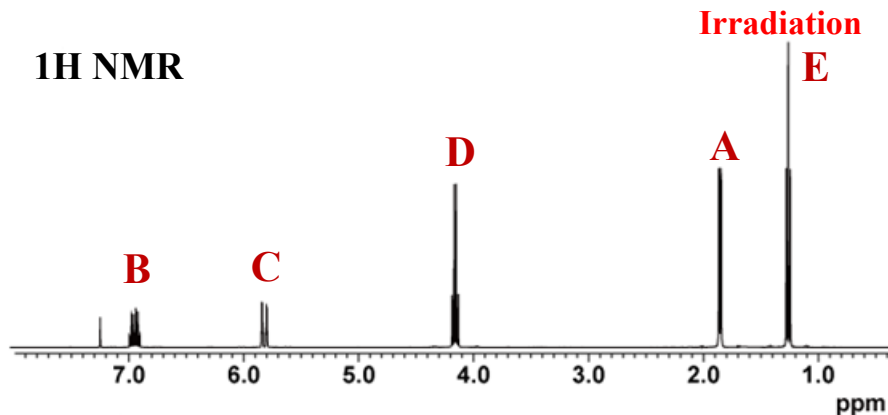


NOE observation after irradiation of CH<sub>3</sub> (**A**)



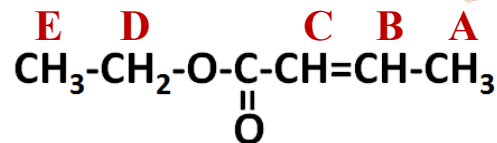
# The Difference NOE experiment

**<sup>1</sup>H NMR**



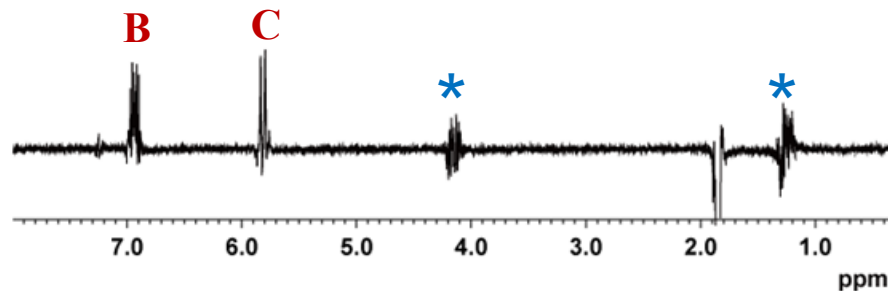
**Irradiation**

**E**



(10 mg/0.55 mL CDCl<sub>3</sub>)

**Difference NOE spectrum**



400 MHz

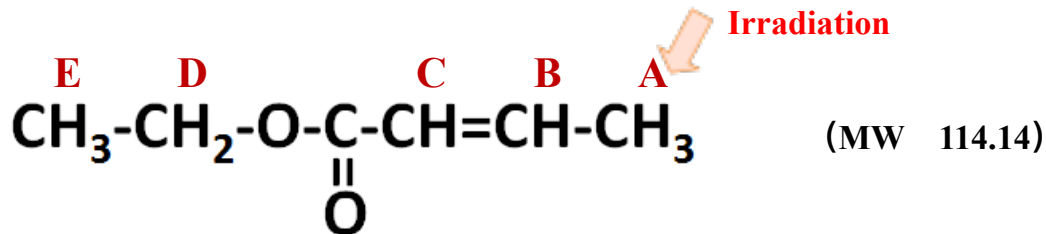
Saturation time 40sec

scans 16

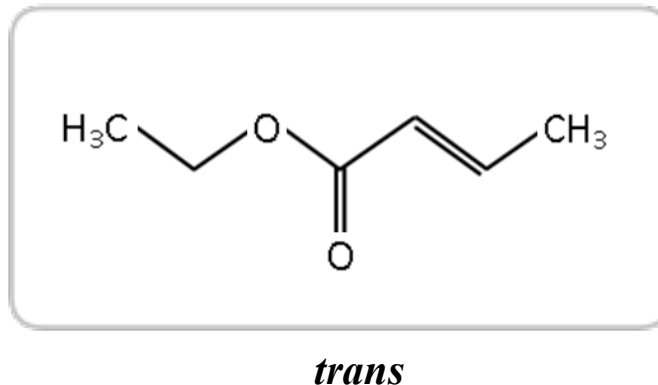
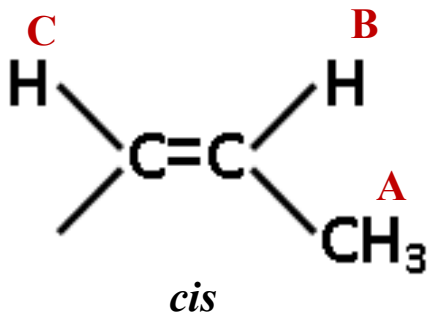
**Irradiation of CH<sub>3</sub>(A) ⇒ Observation of positive NOE on B and C**

# The Difference NOE experiment

Determination of double-bond stereoisomers  
(*cis*, *trans* isomerism)



Irradiation of CH<sub>3</sub>(A) ⇒ Observation of positive NOE on **B** and **C**



Unfortunately, for the majority of cases ..



# Troubleshooting with NOE

I cannot observe NOE!!



***Check longitudinal relaxation ( $T_1$ ) !***

***In difference NOE: set saturation time at  $5 \times T_1$***

***In NOESY: set mixing time at about  $T_1$***



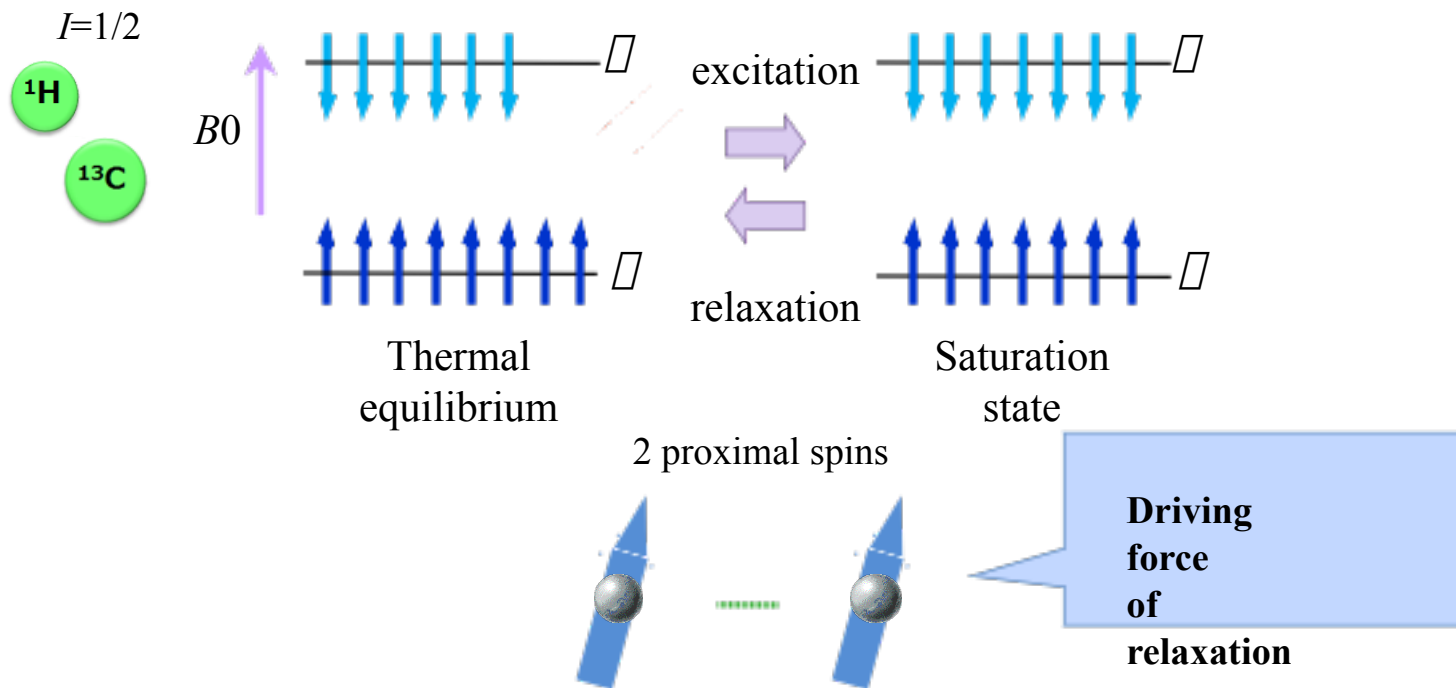
Why relaxation time? ?



***NOE is a relaxation phenomenon caused by dipole-dipole interactions***

# The Origin of NOE

**NOE is a relaxation phenomenon caused by dipole-dipole interaction**



In solution **dipolar interaction** is the main relaxation mechanism

**Important for NOE**

# The NOE Effect

In the case of steady-state NOE:

Upon irradiation of signal S, the relative intensity increase for signal I due to NOE is

$$\eta_I \{S\} = 0.5$$

The maximum achievable increase is 50% → Increase of signal intensity 1.5 times (for fast tumbling molecule)



Dipole-dipole interaction

**Be careful!!**

This is valid for the case we consider only two spins within the molecule (2 spin system)..

In reality, **additional relaxation pathways** exist that cannot be ignored

# The NOE Effect

In the case of steady-state NOE:

Upon irradiation of signal S, the relative intensity increase for signal I due to NOE is

$$\eta_I \{S\} = 0.5$$



The maximum achievable increase is 50% → Increase of signal intensity 1.5 times (for fast tumbling molecule)

Dipole-dipole interaction

## Additional relaxation pathways

Intramolecular interactions  
(multi-spin system)

Intermolecular interactions

→ **diminished NOE!**

So in reality..

The maximum theoretical value 50% becomes

→ 0~25%

# Experimental methods for the Observation of NOE

---

Type of NOE	Method
steady state NOE	Difference NOE
..... transient NOE	2D NOESY
	1D NOESY (DPFGSE-NOE)

**NOESY: Nuclear Overhauser Effect Spectroscopy**

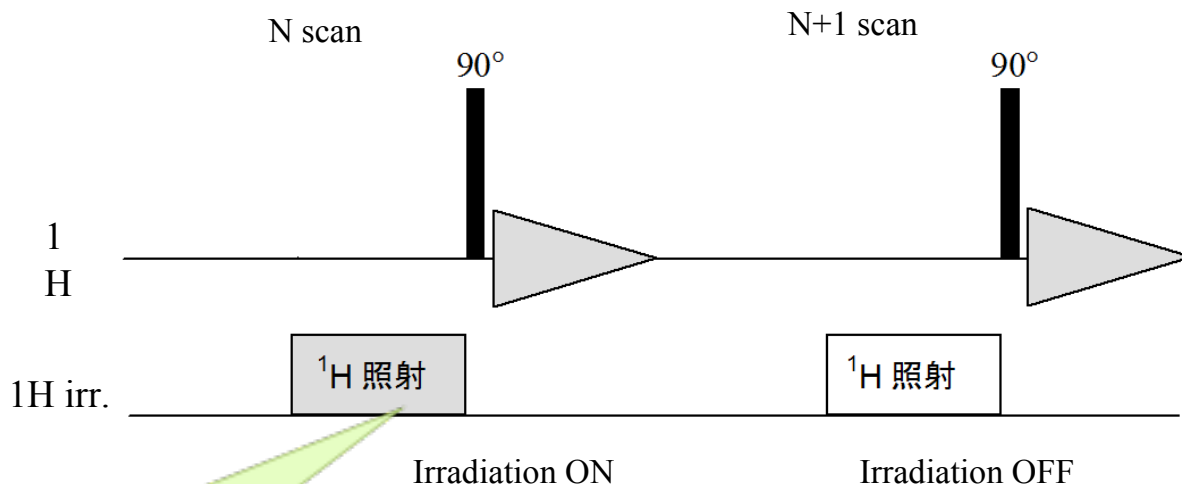
**In difference NOE: set saturation time at 5 x T1**

**In NOESY: set mixing time at about T1**

# The Difference NOE Experiment

## (steady state NOE)

Upon selective saturation of a  $^1\text{H}$  signal, the system relaxes to a new equilibrium state. The obtained spectrum in Difference NOE experiment reflects this new equilibrium state (steady state)



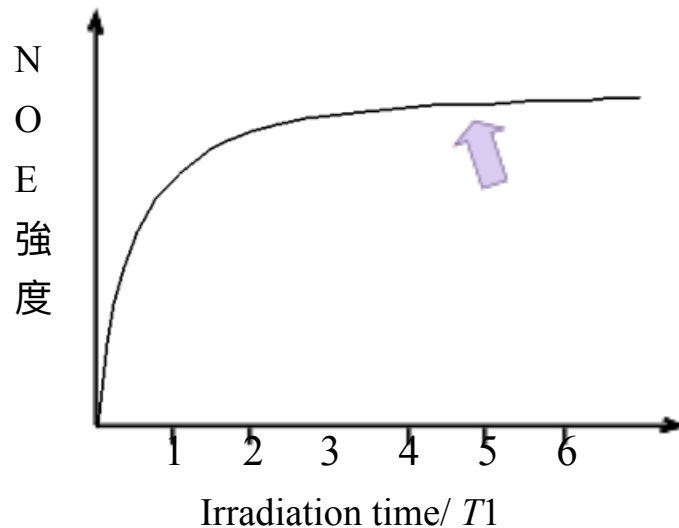
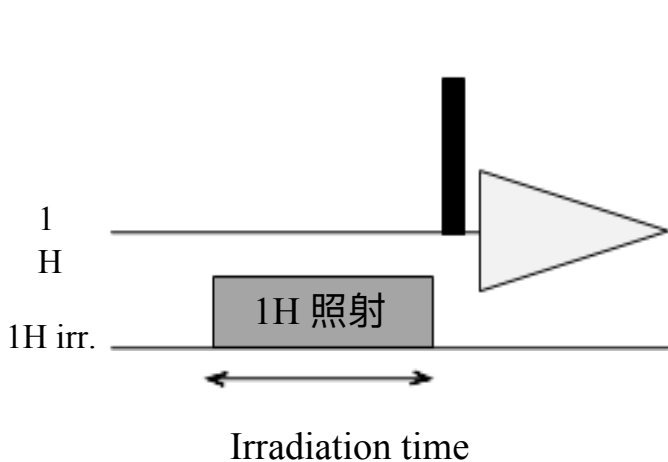
Irradiation time is the most important parameter

## The Difference NOE pulse sequence

# NOE Effect in Difference NOE Experiment

## steady state NOE

Upon selective saturation of a  $1\text{H}$  signal, the system relaxes to a new equilibrium state. The obtained spectrum in Difference NOE experiment reflects this new equilibrium state (steady state)



**In Difference NOE: *set saturation time at  $5 \times T_1$***

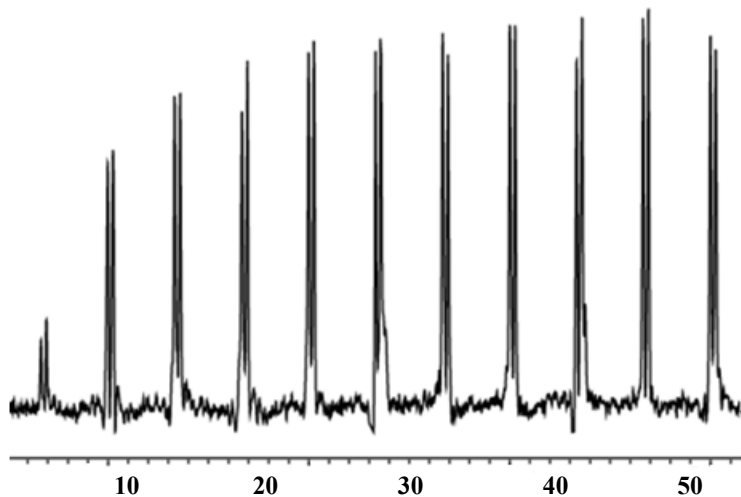
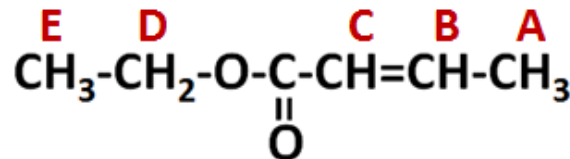
→ allow for relaxation via dipolar interactions to complete

# NOE Effect in Difference NOE Experiment

steady state NOE

Intensity change of **C** upon irradiation of **A**

Irradiation



Saturation time (s)

	<i>T</i> 1 (s)
A	3.2
B	5.9
C	5.2
D	4.5
E	3.8

*Estimate necessary irradiation from T1*

$$5.2 \times 5 = 26 \text{ (s)}$$

**In difference NOE: set saturation time at 5 x T1**

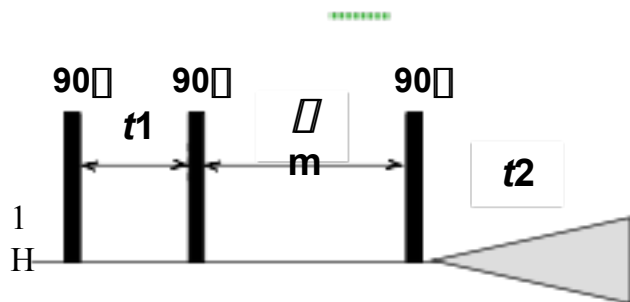
→ allow for relaxation via dipolar interactions to complete



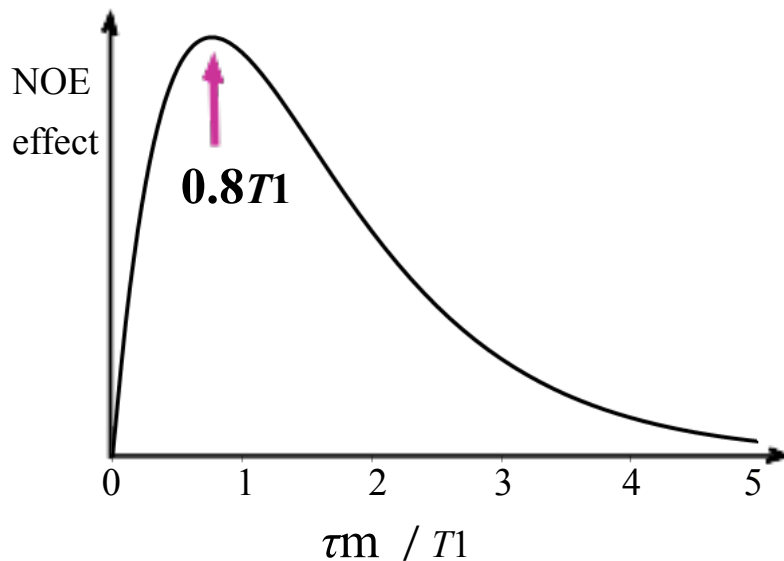
# Signal Intensity in 2D NOESY

## transient NOE

RF pulses cause perturbation. The 2D NOESY spectrum captures the NOE that develops during the subsequent mixing time



2D NOESY pulse sequence

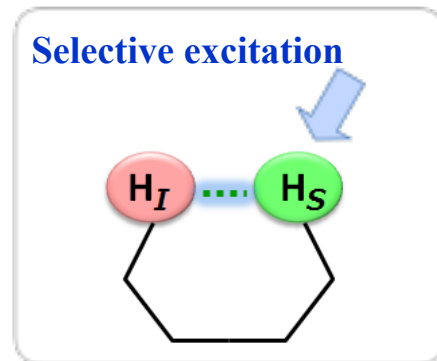
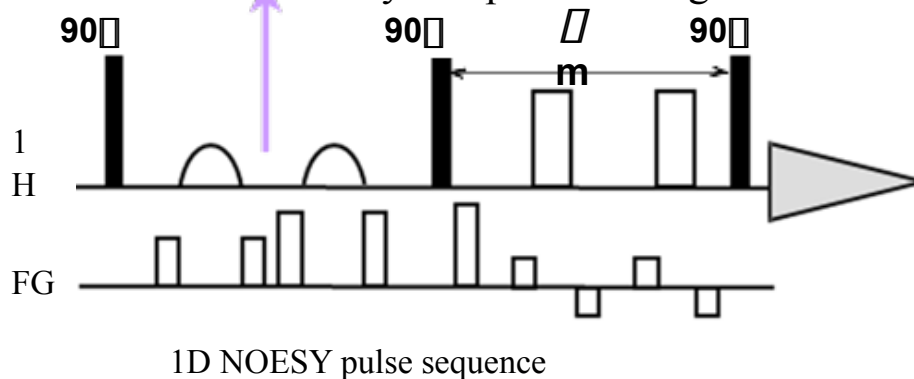


**In NOESY: set mixing time at about  $T1$**

# 1D NOESY

## transient NOE

In 1D NOESY, a  $^1\text{H}$  signal is selectively perturbed and therefore the detected NOEs concerned only this particular signal

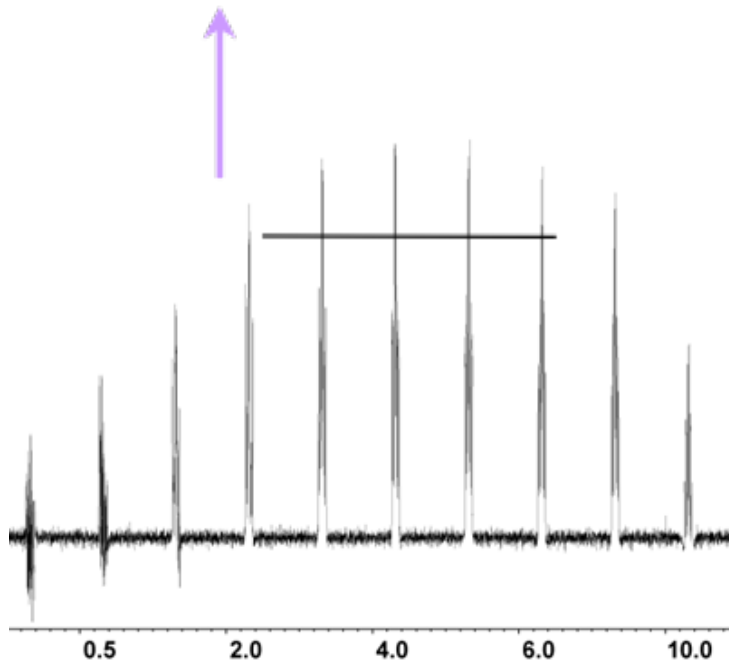


**set mixing time at about  $T_1$**

# Signal Intensity in 1D NOESY

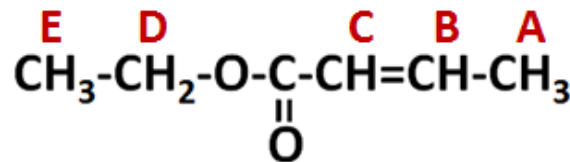
transient NOE

intensity change of **B** upon irradiation of **A**



Mixing time  $\tau_m$  (s)

Selective excitation

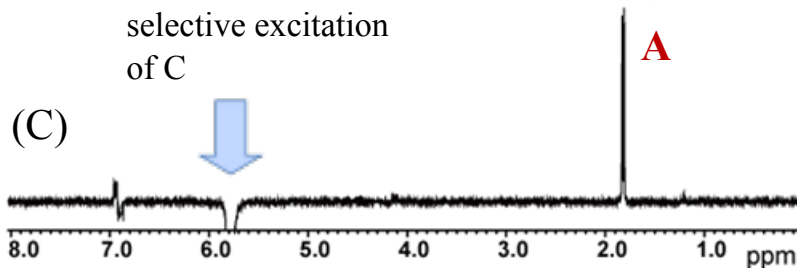
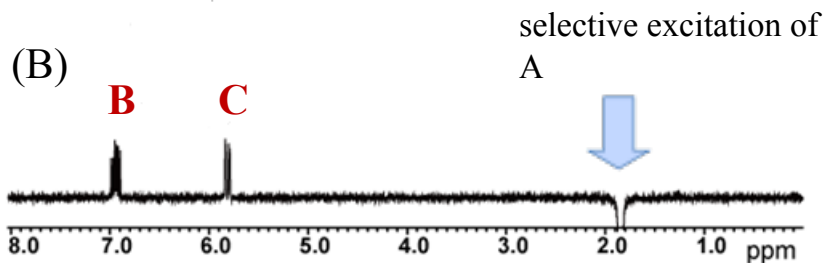
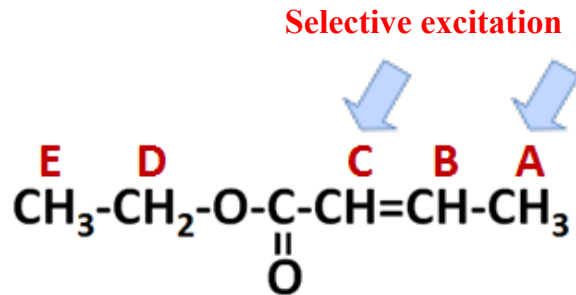
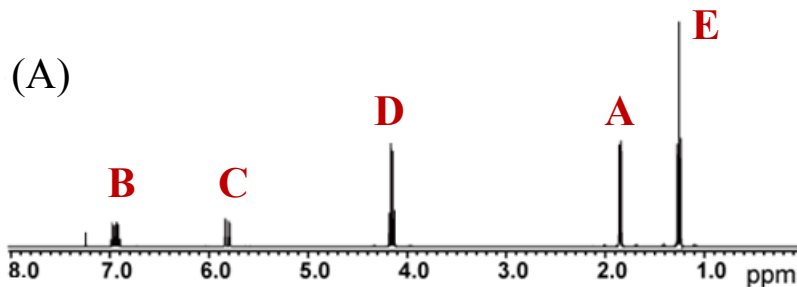


<i>T</i> <sub>1</sub> (s)	
A	3.2
<b>B</b>	<b>5.9</b>
C	5.2
D	4.5
E	3.8

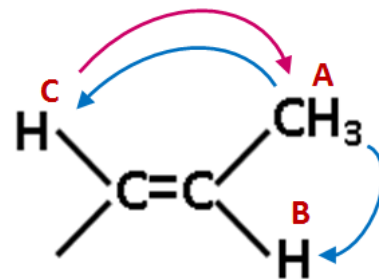
*Estimate necessary irradiation time from *T*<sub>1</sub>*

$$5.9 \times 0.8 = 4.7 \text{ (s)}$$

# 1D NOESY



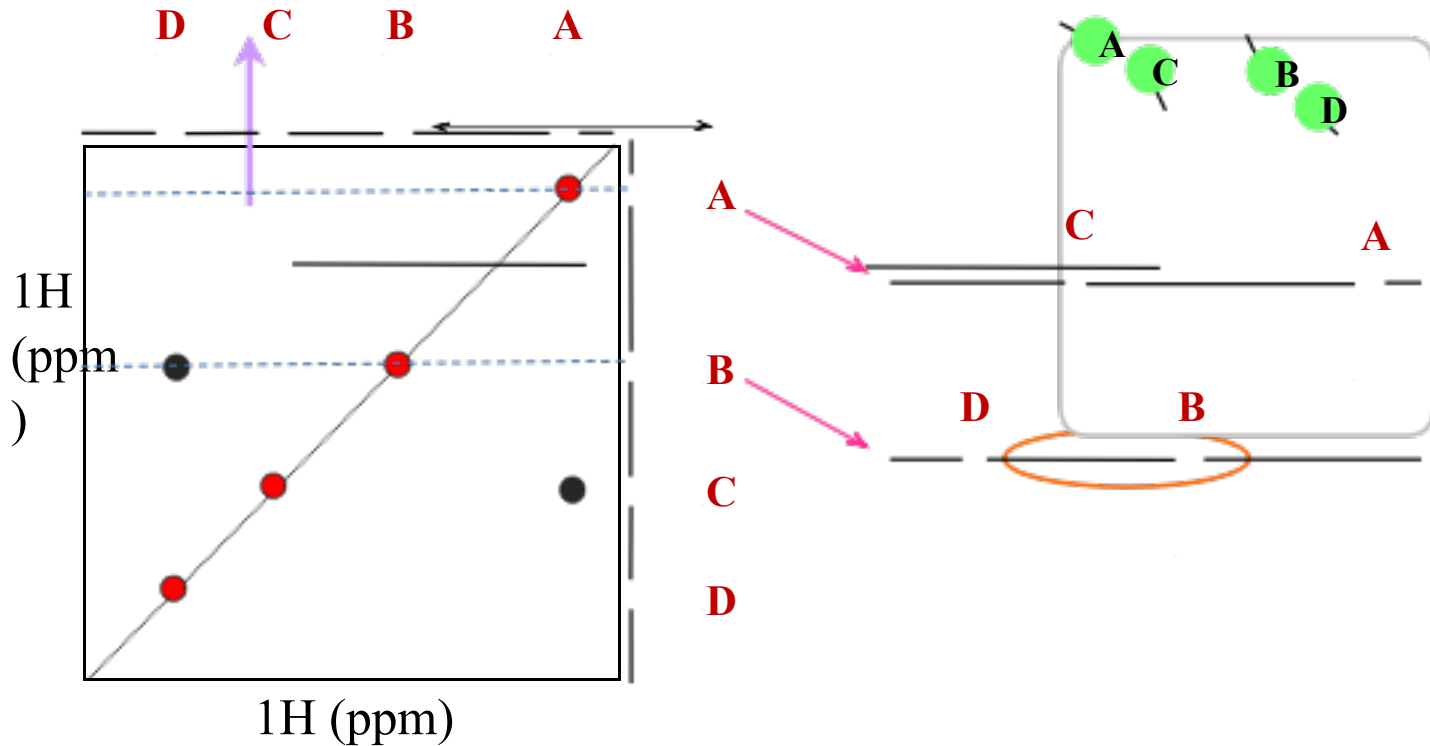
1D NOESY spectrum



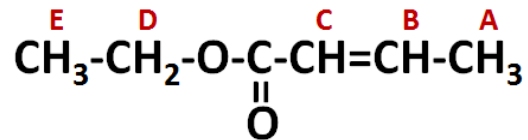
“read “ the spectrum as you read the Difference NOE

# 2D NOESY

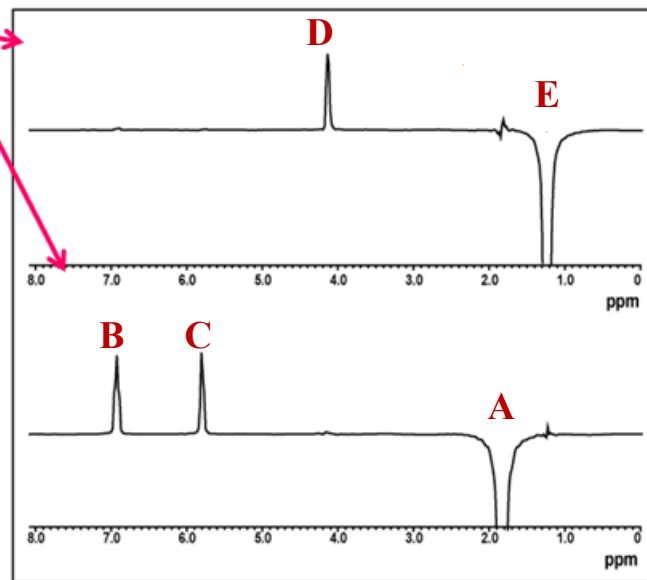
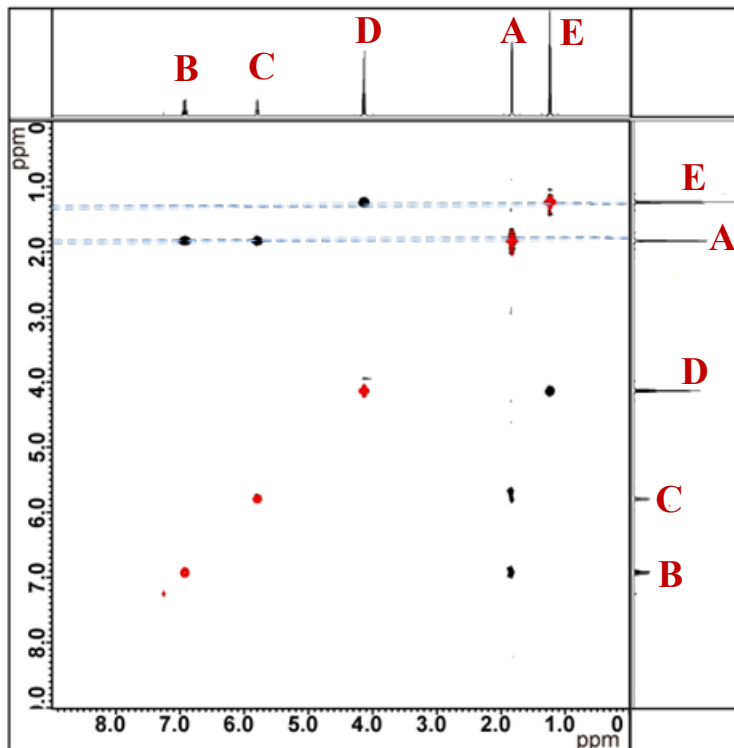
It reveals all the NOEs in the molecule



# 2D NOESY



Ethyl crotonate



Observation of NOE for the **A··B**, and **A··C** pairs

# Pick up the right NOE experiment

---

- **Observation of all the NOEs in the molecule**

- **Assignment not established**

**2D NOESY**

- **Observation of NOEs for a particular 1H**

**1D NOESY**

**Difference NOE**

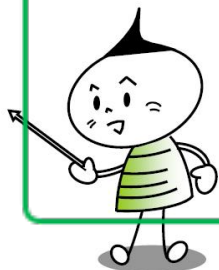
**Which one?**



# Points that warrant your attention

---

- Caveats with sample preparation**
- Dealing with existed NOEs that are not observed**
- Caveats with spectrum analysis**





# Caveats in sample preparation

---

## ① Concentration

avoid extremely high concentrations

## ② paramagnetic material

dissolved oxygen, metal ions

**Intermolecular  
relaxation**

**Paramagnetic - induced  
relaxation**

**weakened NOE effect**

A simple and effective way to remove dissolved oxygen is to inject N<sub>2</sub> or Argon gas into the sample through a capillary (degassing)

# Points that warrant your attention

---

I see no NOE..



**Parameters Setup: OK**  
**Sample preparation: OK**  
**But still..**

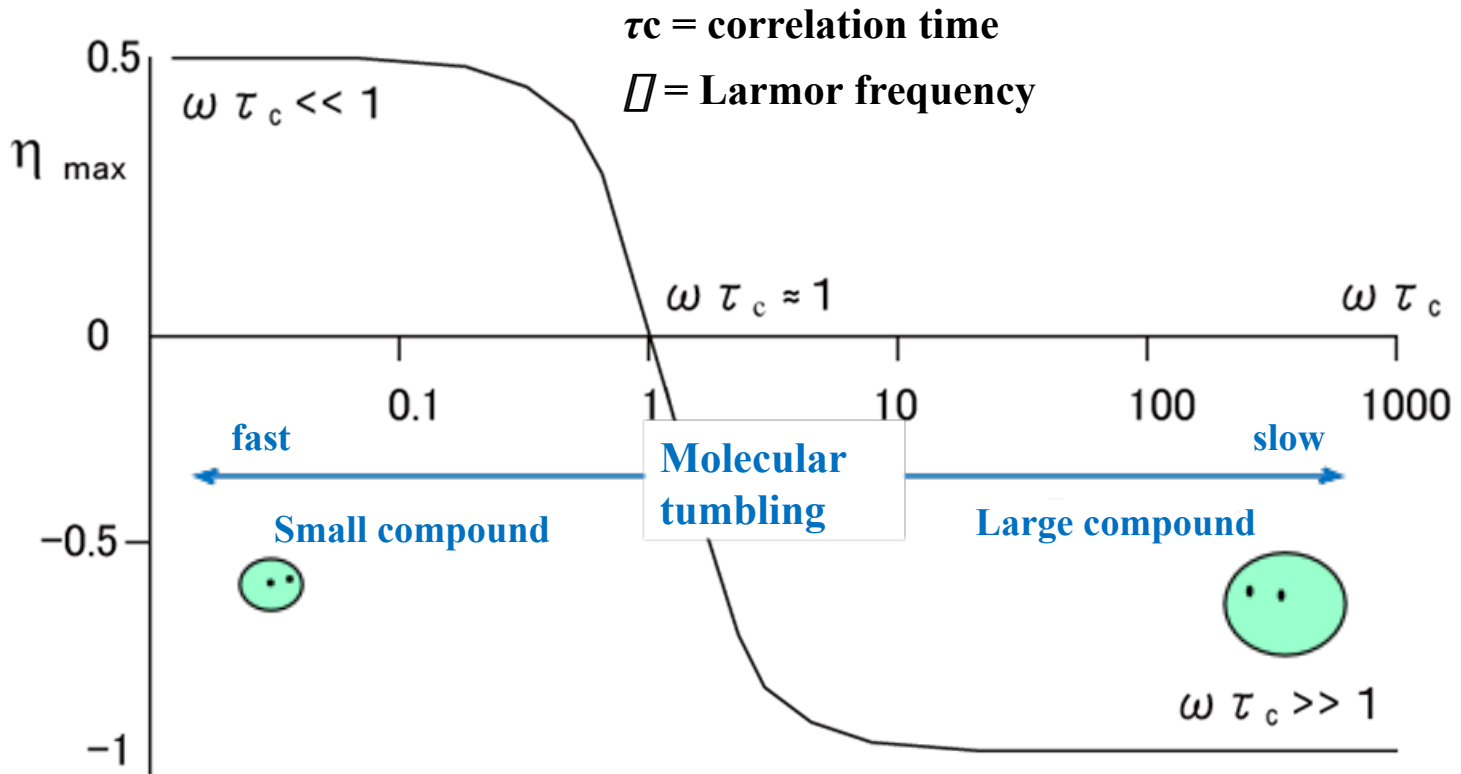
**A crucial point in NOE..**

**Is the relationship between dipolar interactions and  
molecular tumbling**



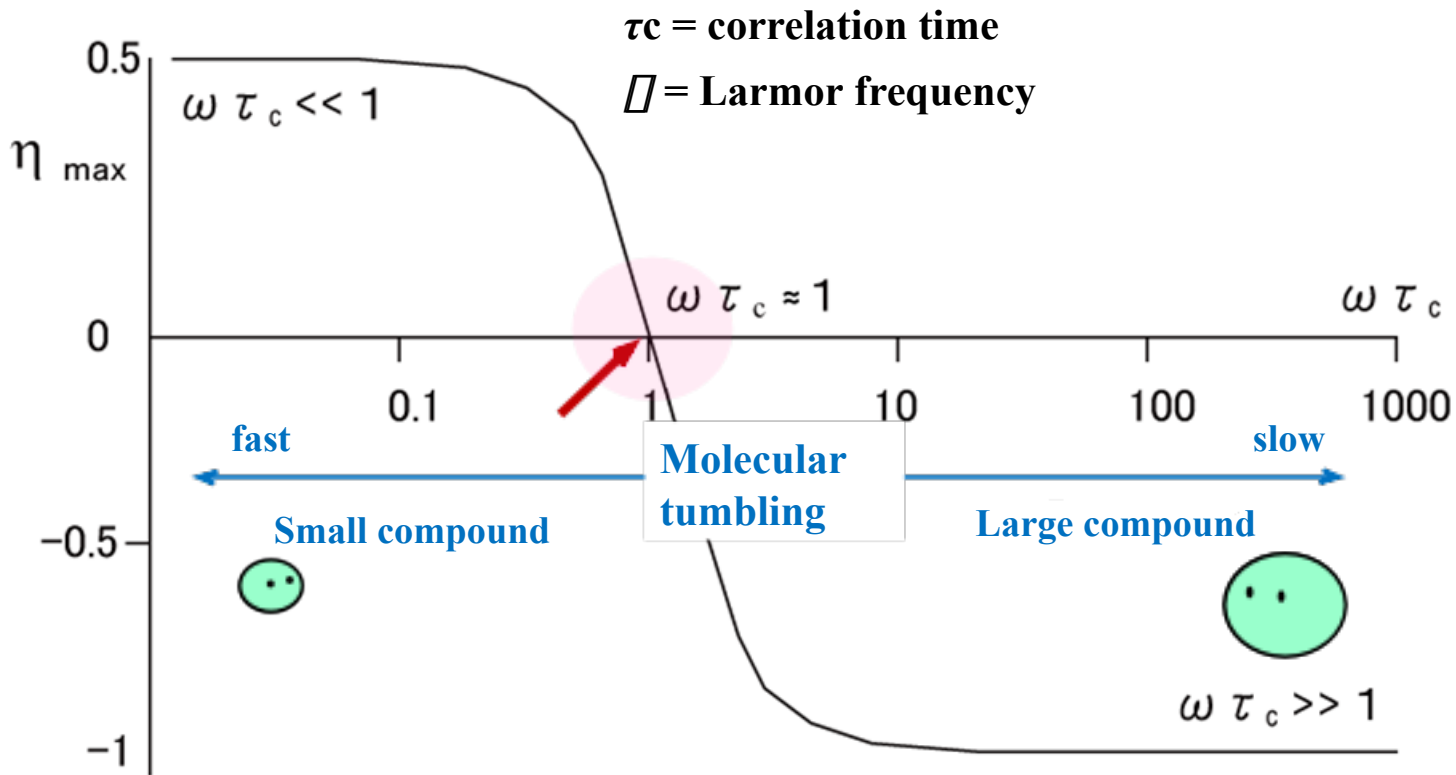
**NOE effect depends on molecular tumbling**

# NOE intensity and molecular tumbling



**Relation between NOE effect  
and the product  $\omega \tau_c$**

# NOE intensity and molecular motions



**Relation between NOE effect  
and the product  $\omega \tau_c$**

# So..in case you cannot observe NOE

---

**..despite optimal sample preparation and correct setup of experimental parameters**

① **change molecular motions (  $\tau_c$  )**

➡ Temperature, solvent (viscosity)

② **change larmor frequency (  $\omega$  )**

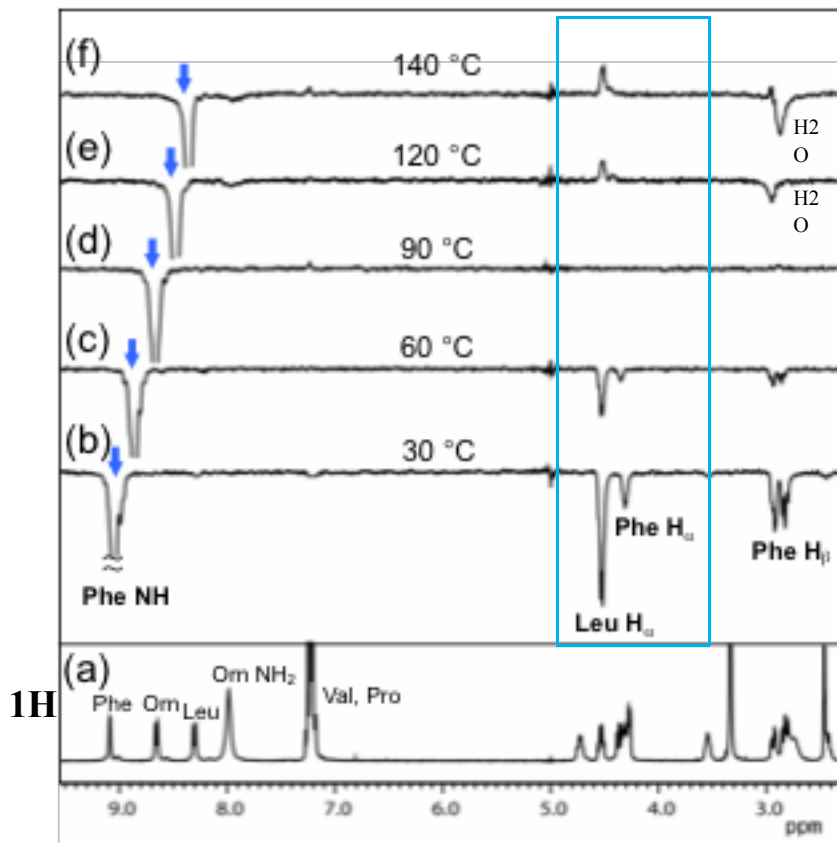
➡ Measurement with a different magnet

③ **change experiment**

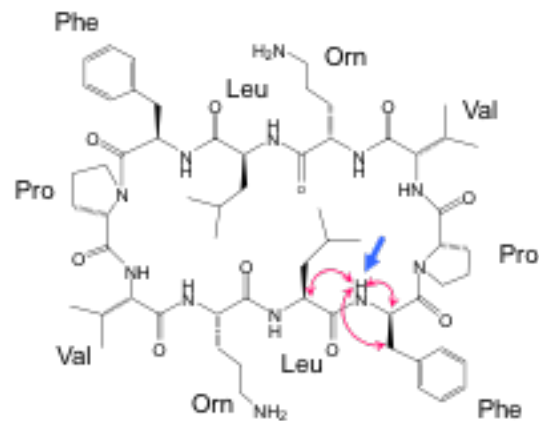
➡ ROESY



# Change molecular motions (temperature)



1D NOESY spectrum (400MHz)

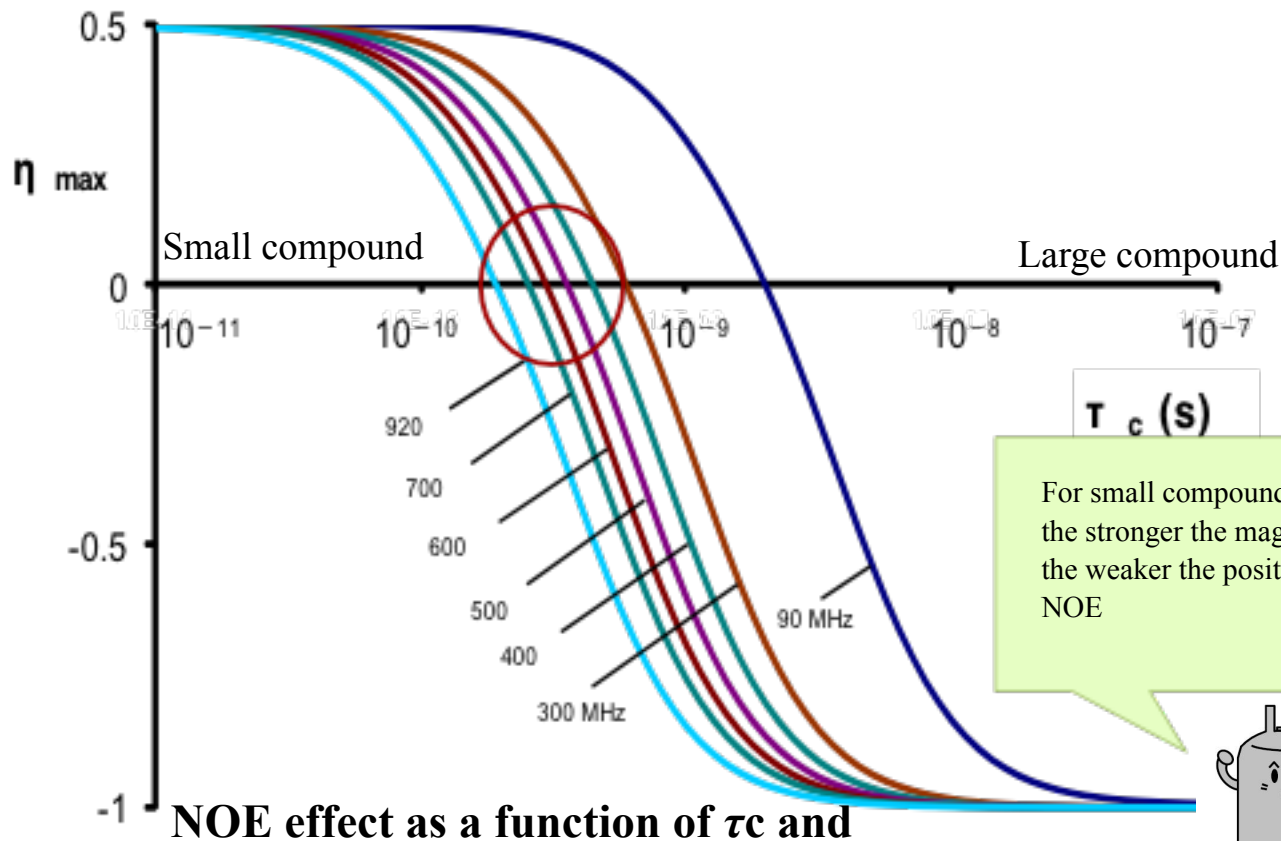


**Gramicidin S**

(MW = 1141)

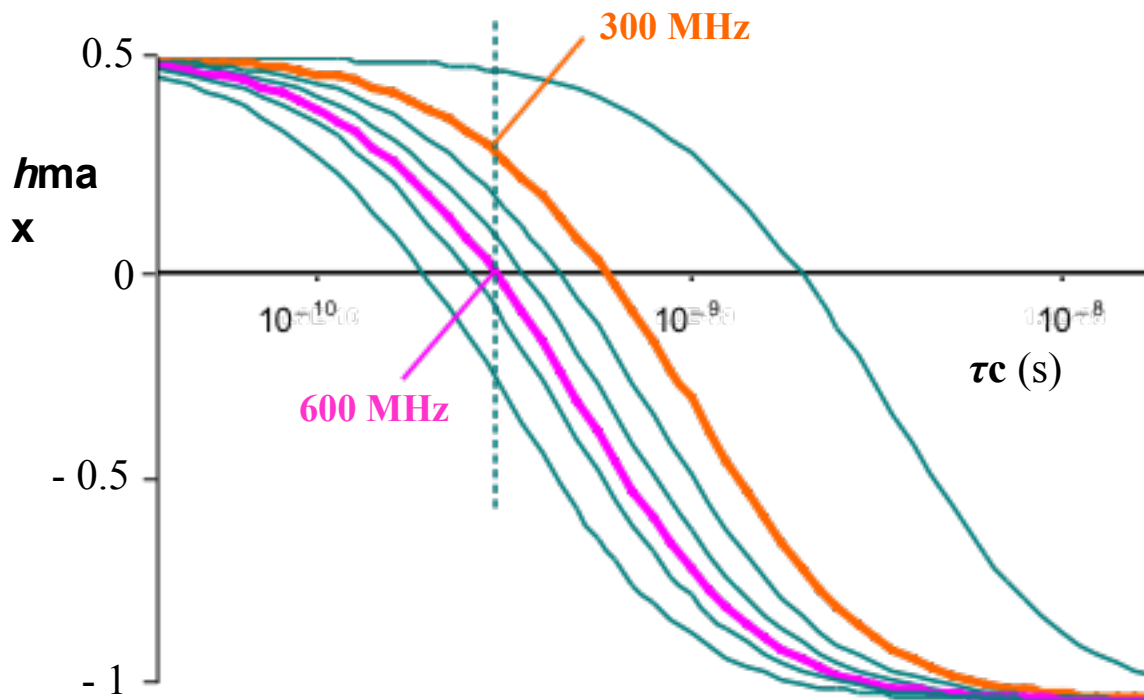
12mg / 0.6ml DMSO-d<sub>6</sub>

# Change larmor frequency

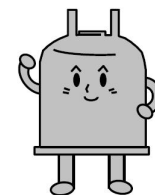


**NOE effect as a function of  $\tau_c$  and magnetic field**

# Change larmor frequency



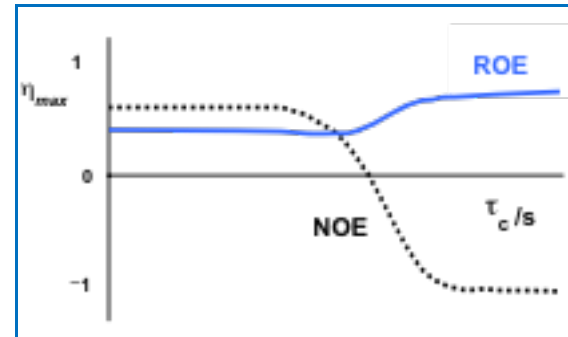
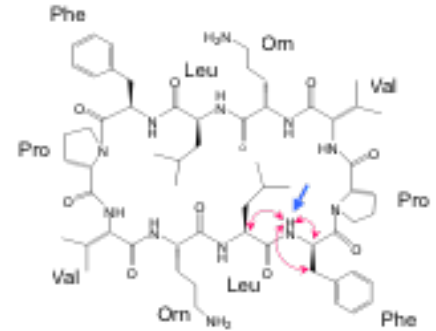
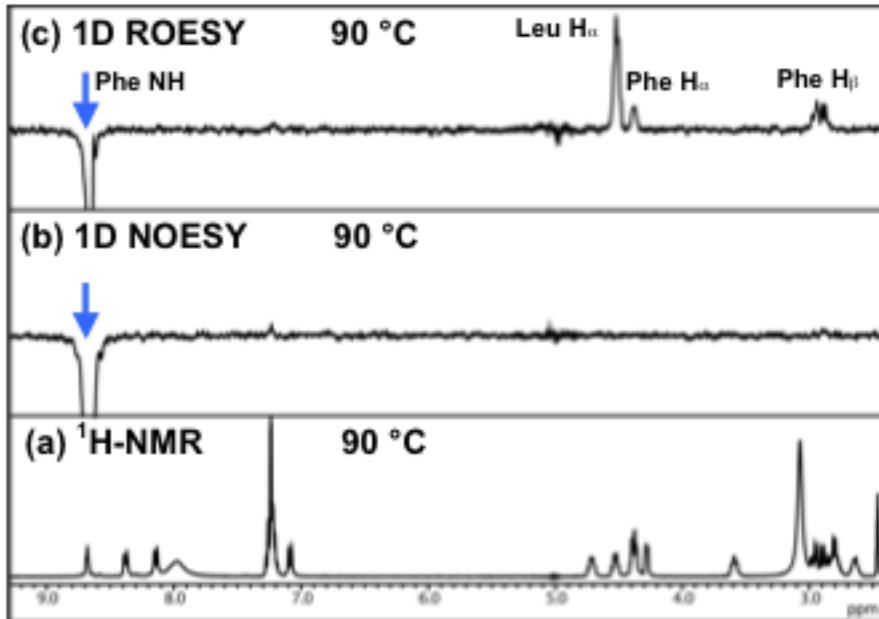
**NOE effect as a function of  $\tau_c$  and magnetic field**





# Try ROESY

## Rotating frame nuclear **O**verhauser **E**ffect **S**pectroscop**Y**



★ NOE observed in ROESY is called ROE

# Points that warrant your attention

---

last but not least.....

**NOE is not easy at all!**

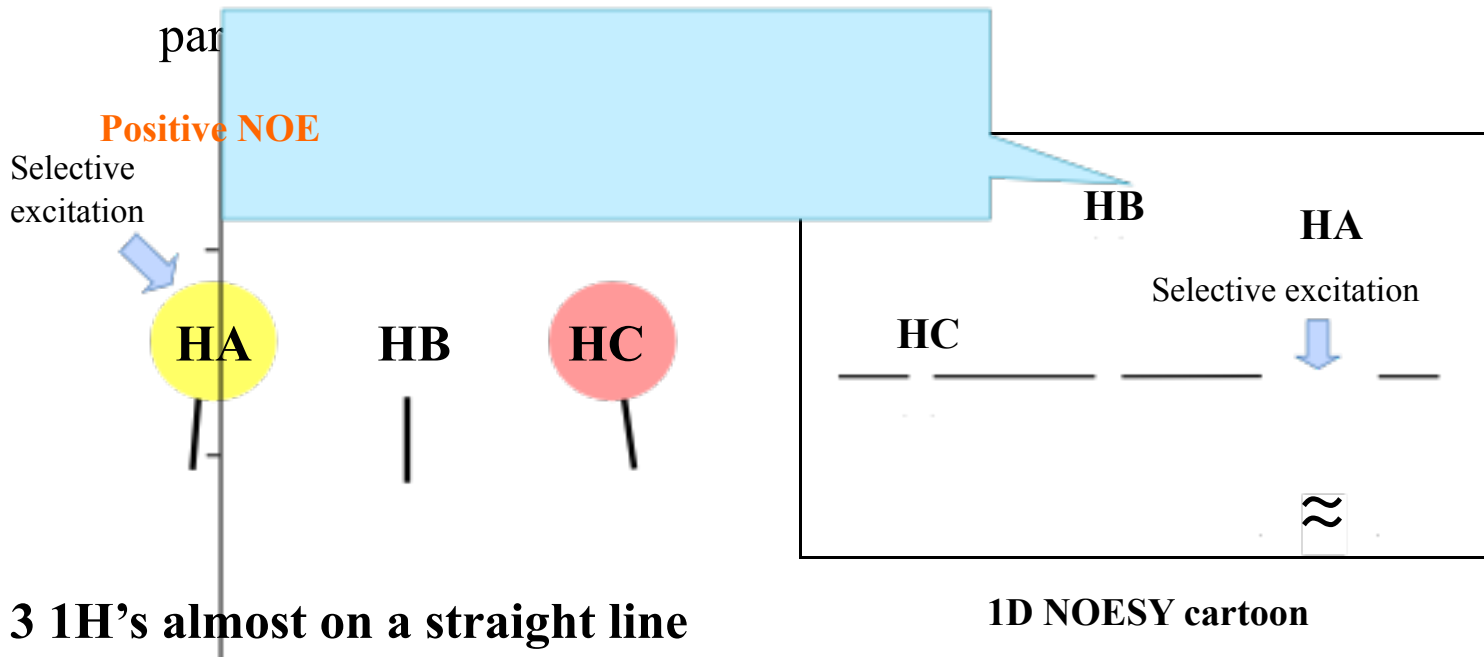


- **Small compounds can produce negative NOE?!**
- **So close in space and they don't give NOE..?!**

# Q. Small compounds can produce negative NOE?!

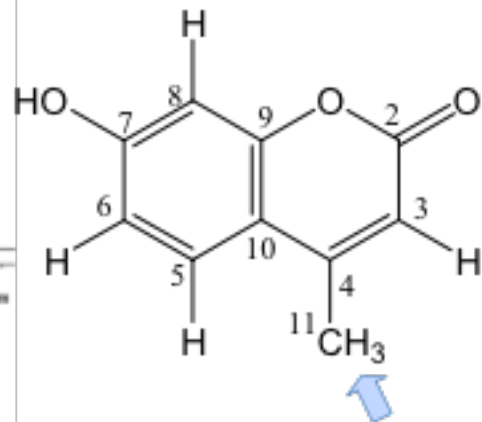
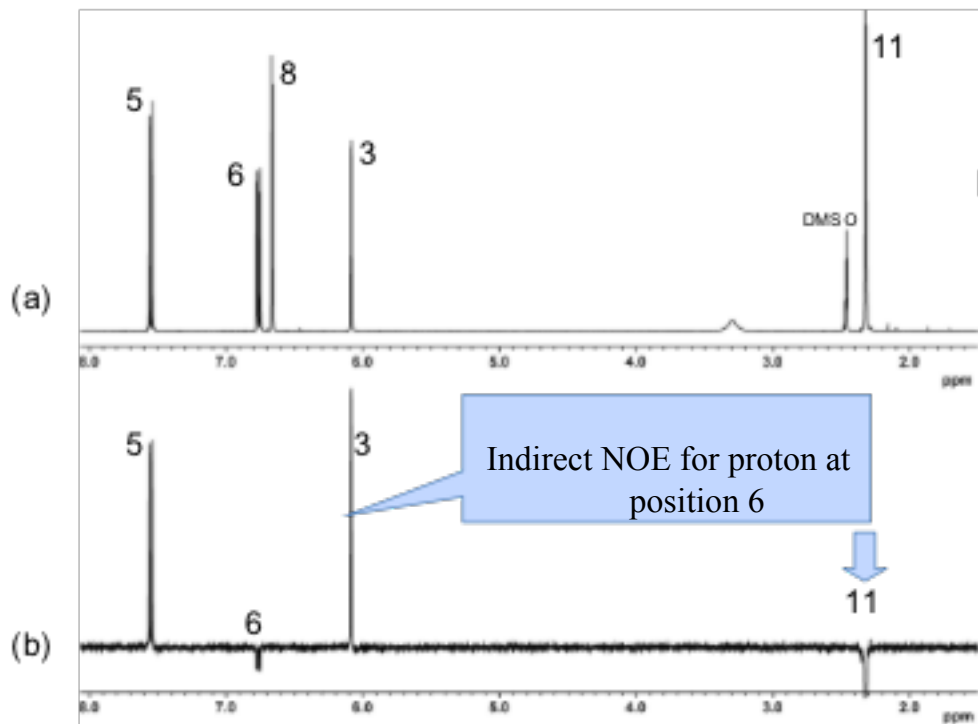
A. It is known as **Indirect NOE (three spin effect)** and it is observed when protons in a small compound display a

par



## Three spin effect

# Q. Small compounds can produce negative NOE?!

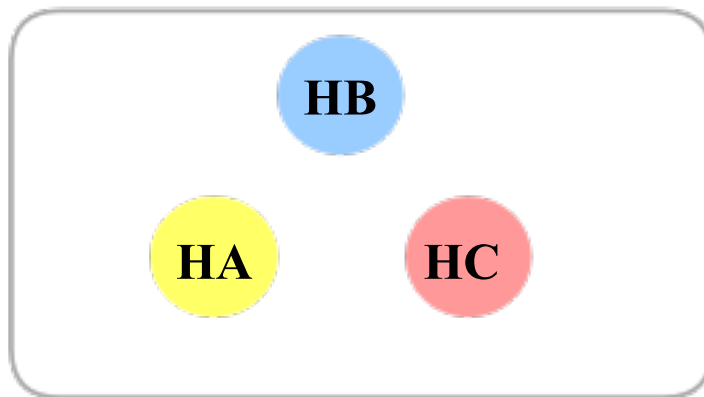


1D NOESY 4-methylumbelliferone (10 mg / 0.6 ml DMSO-d<sub>6</sub>, 400 MHz)

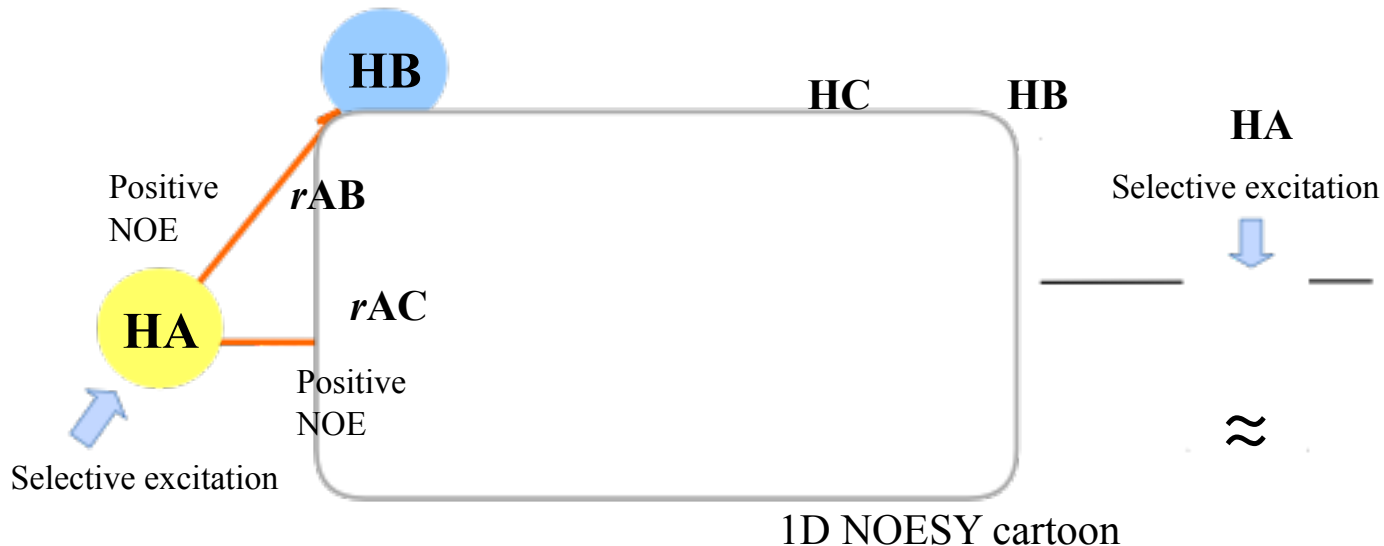
(a) Proton spectrum (b) 1D NOESY spectrum with selective excitation of 11-proton)

## Q. So close in space but they don't give NOE..?!

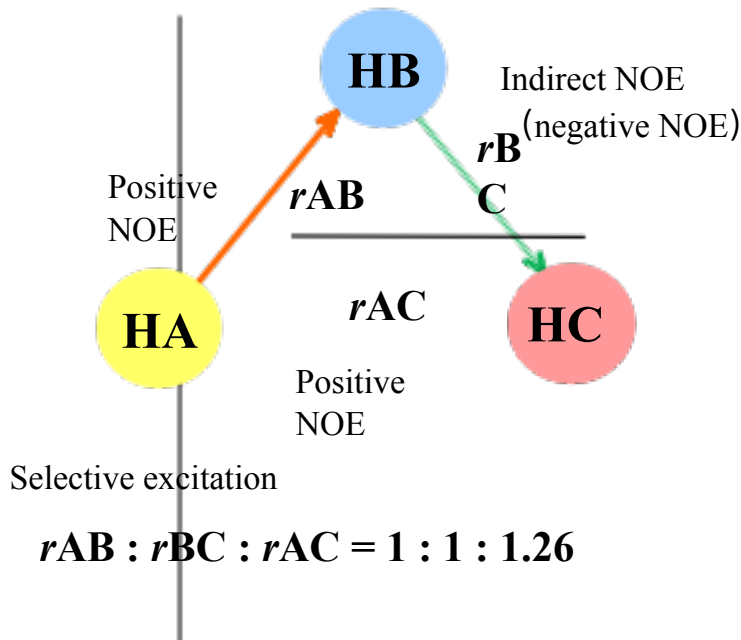
- A. When three nearby protons take a particular configuration, then it is possible that you cannot observe NOE (triangle problem?)



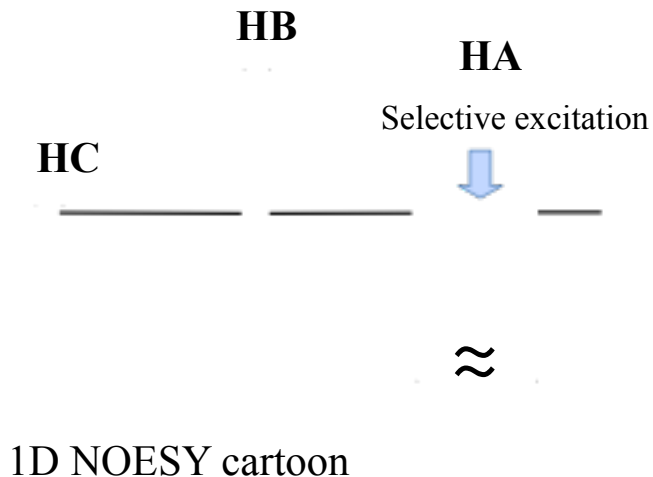
# Q. So close in space and they don't give NOE..?!



# O. So close in space and they don't give NOE..?!



$$r_{AB} : r_{BC} : r_{AC} = 1 : 1 : 1.26$$



**Sometimes despite the obvious proximity NOE, is not observed**

# Summary

---

## 1. On the experiment..

**NOE is a relaxation phenomenon caused by dipolar interactions**

*In NOE experiment it is important that you can manipulate this dipolar interaction to your benefit*

➡ **Setup parameters according to  $T_1$**

*In difference NOE: set saturation time at  $5 \times T_1$*

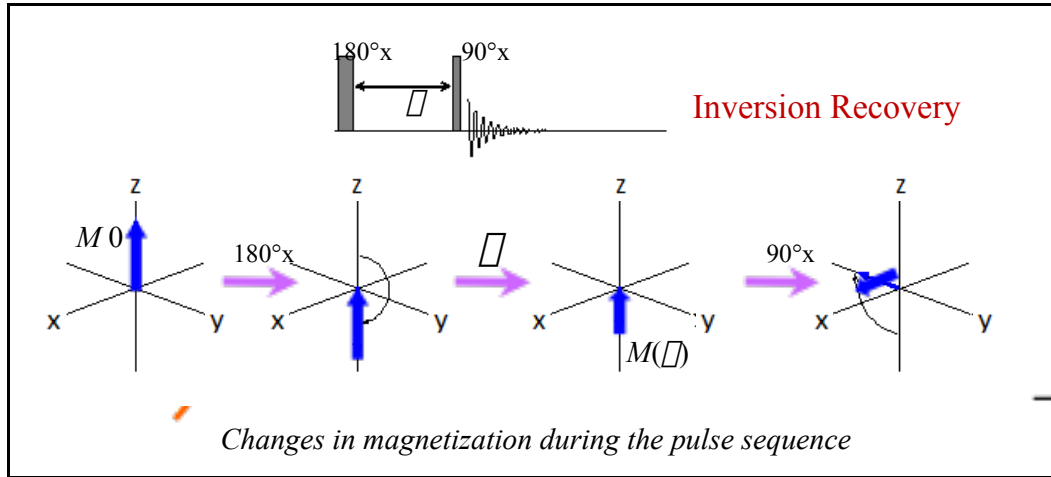
*In NOESY: set mixing time at about  $T_1$*

## 2. On the results

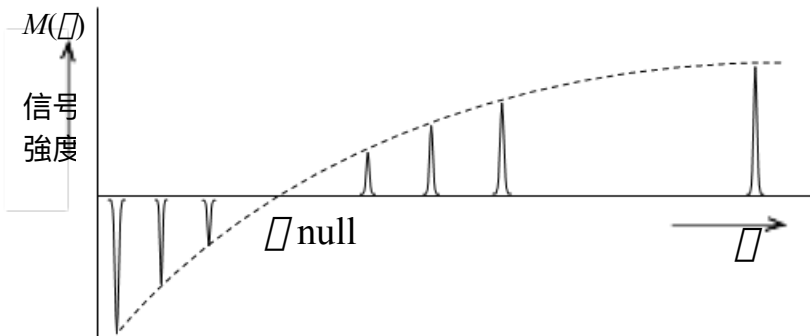
**Observation of NOE indicates proximity in space but the opposite is not always true. Non observation of NOE does not necessarily mean that spins are far apart**



# Q. How I can estimate $T_1$ ? .. a simple method



relaxation/double\_pulse.jpg



$$M(\tau) = M_0 \left\{ 1 - 2 \exp\left(-\frac{\tau}{T_1}\right) \right\}$$

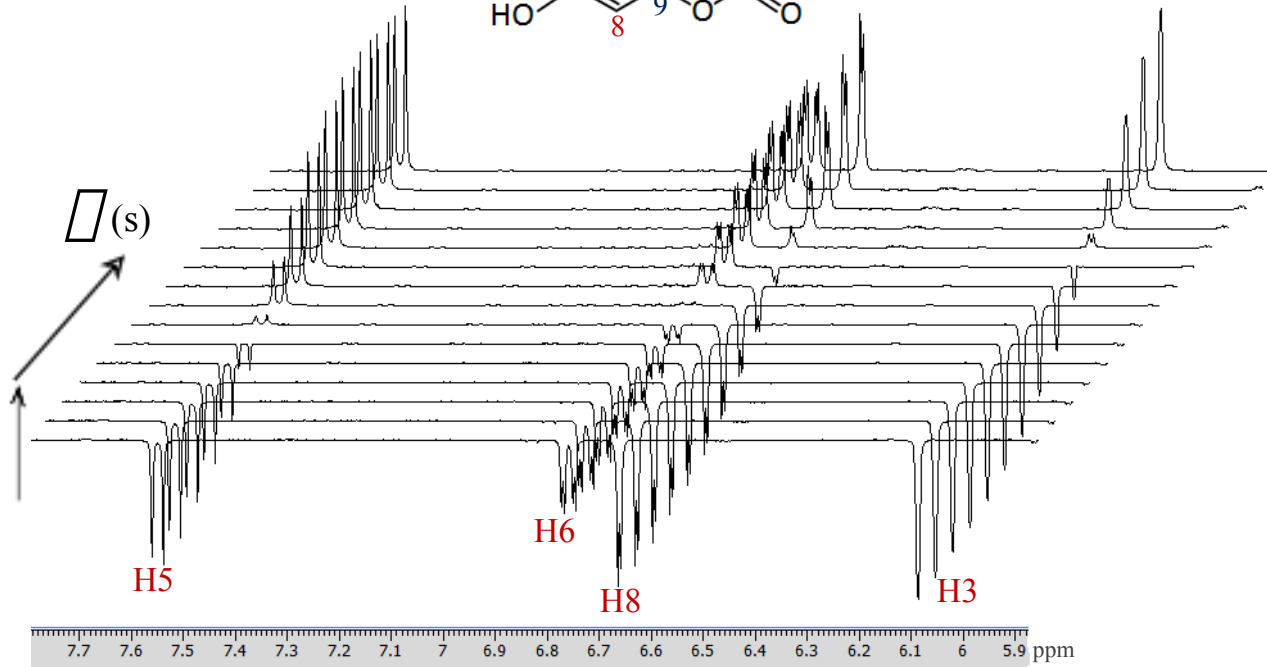
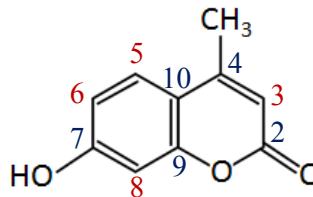
$$T_1 \approx \tau_{\text{null}} \quad \square \quad 1.44$$

An estimate of  $T_1$

$M(\tau)$  signal intensity as a function of  $\tau$

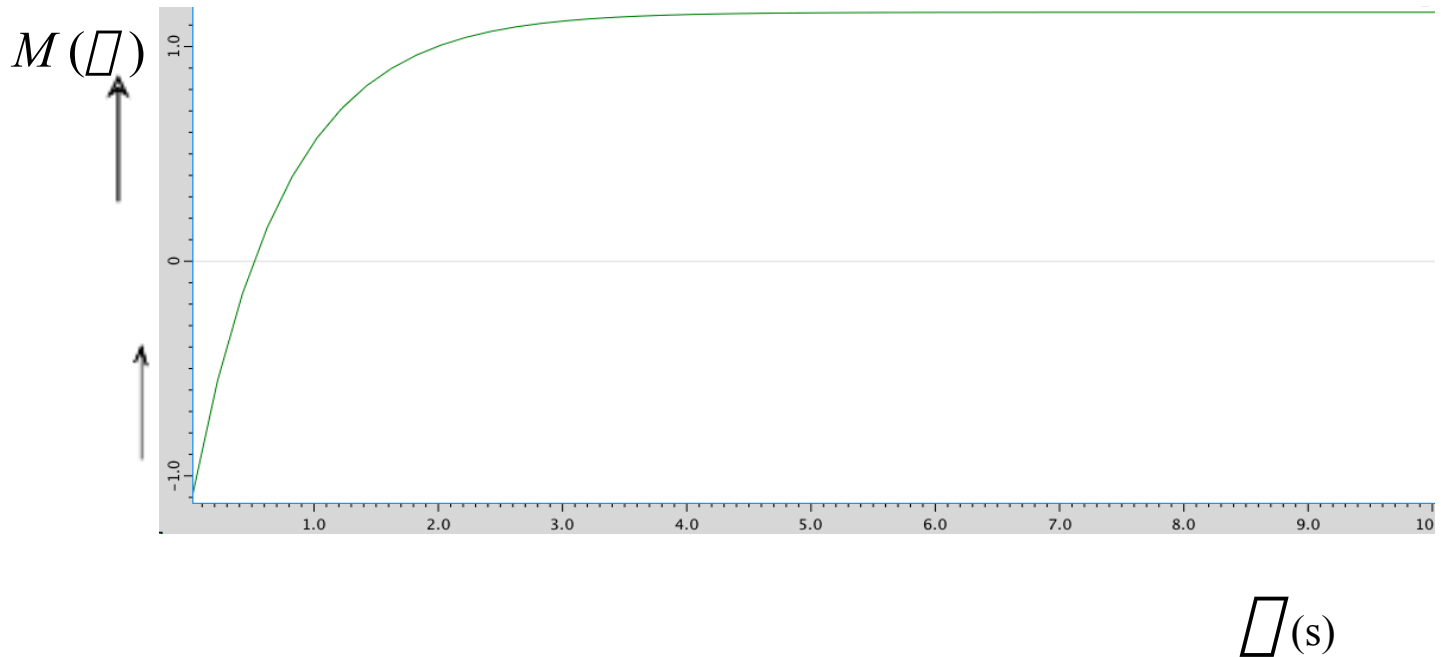
# Q. How I can estimate $T1$ ? .. a simple method

4-methyl umbelliferone



# Q. How I can estimate $T_1$ ? .. a simple method

$$M(\tau) = M_0 \left\{ 1 - 2 \exp\left(-\frac{\tau}{T_1}\right) \right\}$$



# Q. How I can estimate $T1$ ? .. a simple method

relaxation/double\_pulse.jxp

分光計コントロール - アドバンスドモード

接続 ツール 設定 パルスシーケンス

mosstowie.jeol.co.jp

ユーザー: Asakura  
オーナー: Asakura

Activity サンプル: -  
Job: -  
Method: -  
状態: Idle  
積算済: -  
残り時間: -

Info Current tuning information for Probe is missing or incomplete.

Jobリスト

- test (1) 0:04
  - Experiment 1
    - double\_pulse 0:04

サンプル名: 溶媒  
test: DMSO-D6

Header Instrument Acquisition Pulse ダイアグラム

x\_pulse: 1[us] x90  
x\_atn: 79[dB]  
tau\_interval: 10[s] ← (blue arrow)  
relaxation\_delay: 7[s]  
repetition\_time: 9.73215[s]  
dante\_presat:   
presat\_time: 7[s] relaxation\_delay  
dante\_pulse: 2[us]

設定: tau\_interval

展開軸:  None  Y  Z  A  B  C  D  E

アレイ方法:  リスト  線形アレイ  パリニアアレイ  指数アレイ  対数アレイ

初期値: 10[s]  
終了値: 0.1[s]  
データ点: 8

969[s], 0.37276[s], 0.19307[s], 0.1[s]

適用 キャンセル

データの自動取得

測定登録

レーザーバグイン: 50 回転速度: 0[Hz] Lock: 156 温度: 25.1[dC] 液体ヘリウム: 50[%] 液体窒素: 75[%] 測定Queue数: 0

(Delta V5.0)

# Q. How I can estimate $T1$ ? .. a simple method

## Curve Analysis Tool (Delta Ver. 5.0)

