

# A Practical Introduction to DOSY

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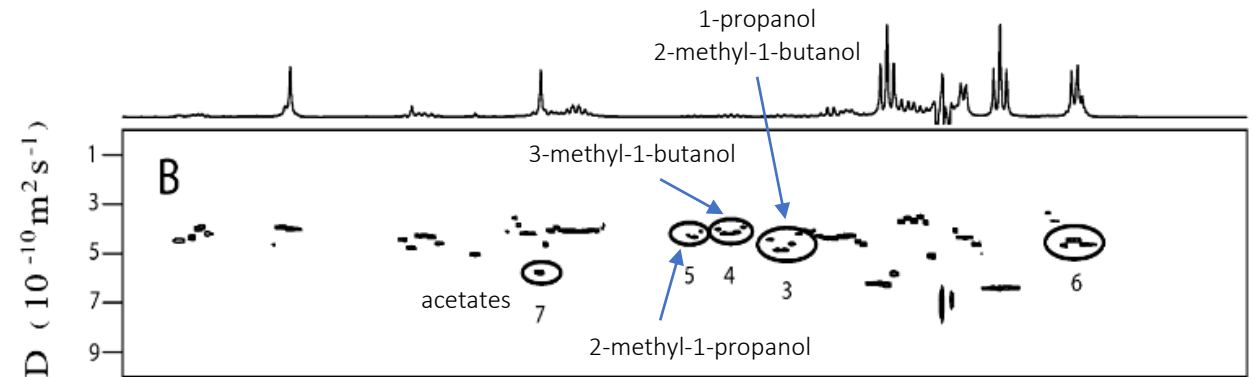
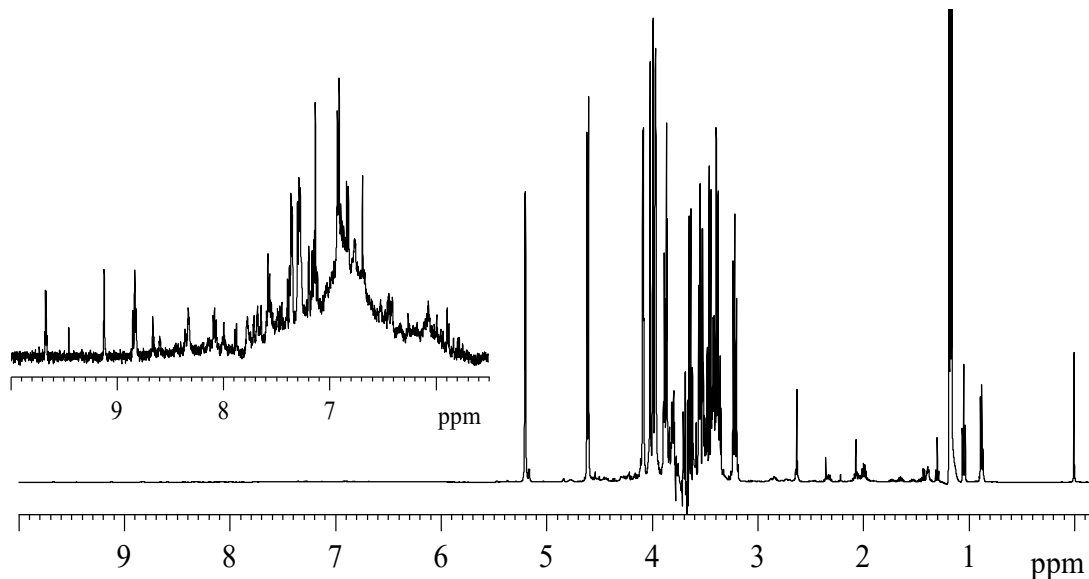
# Overview

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- What are DOSY and its applications?
- Effect of pulsed field gradients and how to use them to measure diffusion
- What are the issues with the basic PFGSE diffusion experiment?
- Practical considerations and experiment setup
- Convection
- Data processing with JASON
- Summary

# What is DOSY?

- Diffusion-Ordered SpectroscopY
- Separate signals in the NMR spectrum based on their diffusion coefficient (“D value”)
- Present results in a 2D plot with chemical shift along x-axis and D value along y-axis
- DOSY is **NOT** the same as diffusion NMR - no 2D plot, not DOSY



J. Agric. Food Chem. 2004, 52, 3736

500 MHz proton and DOSY spectra of port wine

# Applications of DOSY

## Metabolomics

Mixture analysis, compound/biomarker identification

## Polymer science

Molecular weight determination, aggregation, molecular interactions

## Drug development & analysis

Mixture analysis, compound ID, counterfeits, adulteration

## Process chemistry

Identification of reactive intermediates, structure determination

## Food science

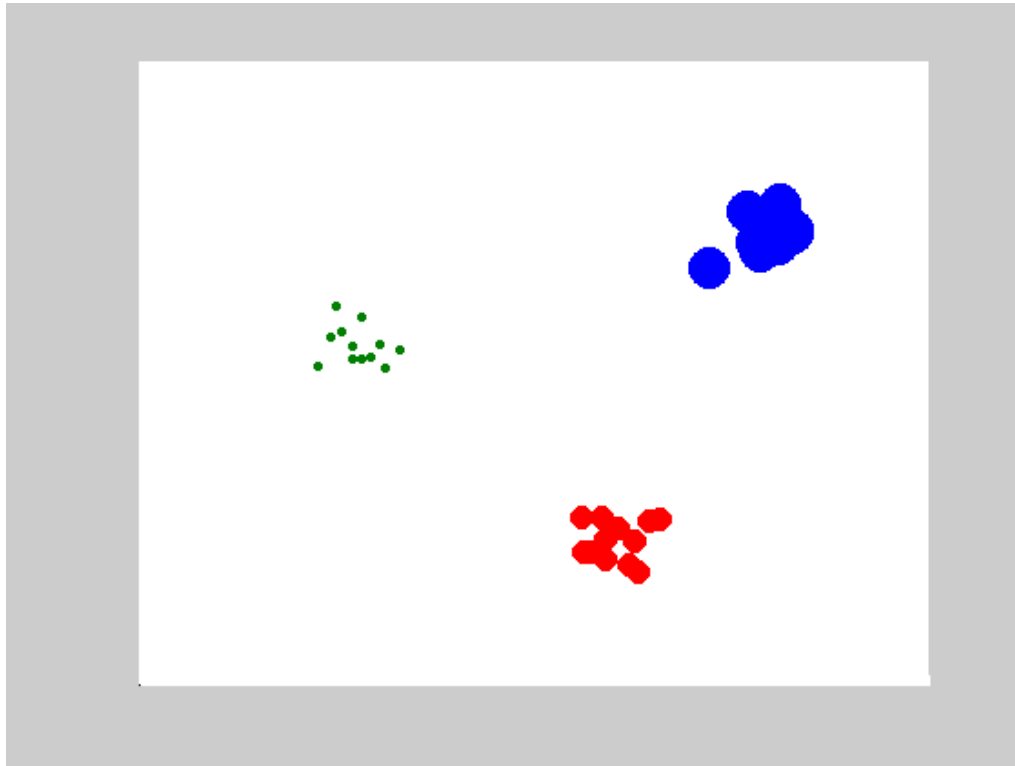
Food profiling, adulteration, compound ID, structure determination

## Materials research & characterization

Batteries, catalysts, paints, emulsions, reagents, etc.



# Self-Diffusion



- Molecules experience both rotational and translational Brownian motion
- Stokes-Einstein equation (spherical molecules) can be used to determine the mobility of the molecules

$$D = \frac{k_B T}{6\pi\eta r_H}$$

$k_B$  : Boltzmann constant

$T$ : temperature

$\eta$ : viscosity

$r_H$ : hydrodynamic radius

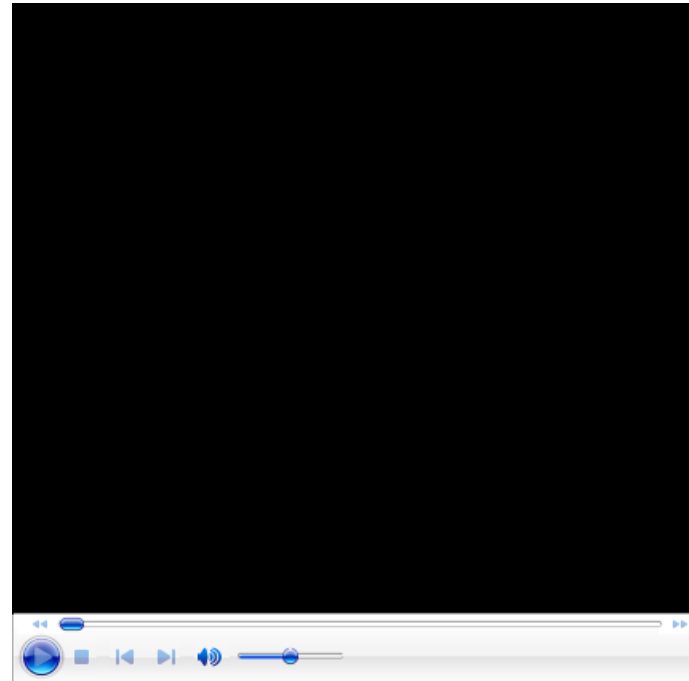
- The equation is valid for solute molecules at infinite dilution diffusing through a continuum solvent (i.e. where the solvent molecules are much smaller than the solute).

# Spin echo

Signal is refocused...



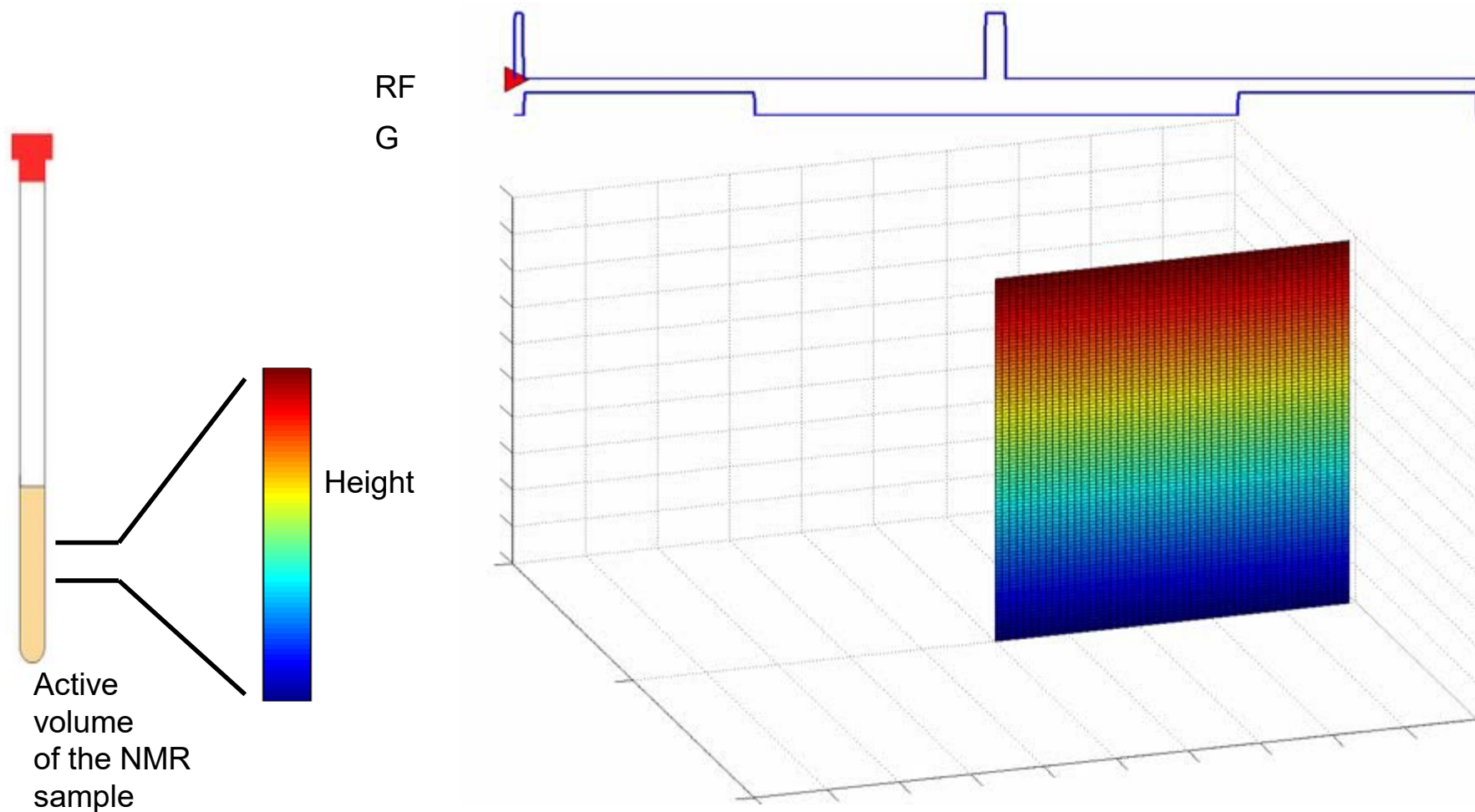
as droplets in corn syrup



<https://twitter.com/wonderofscience/status/1320692387925032961>

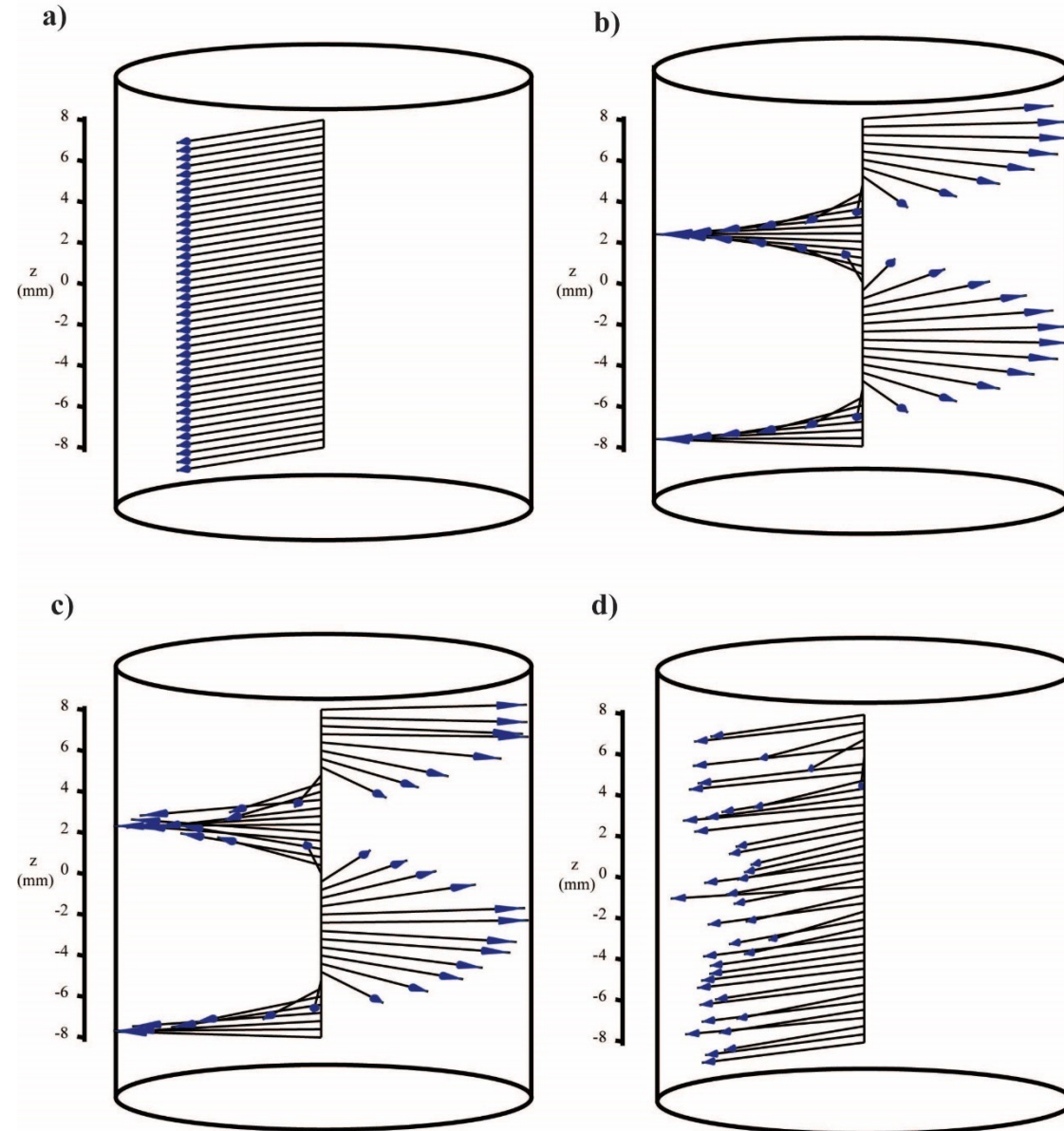
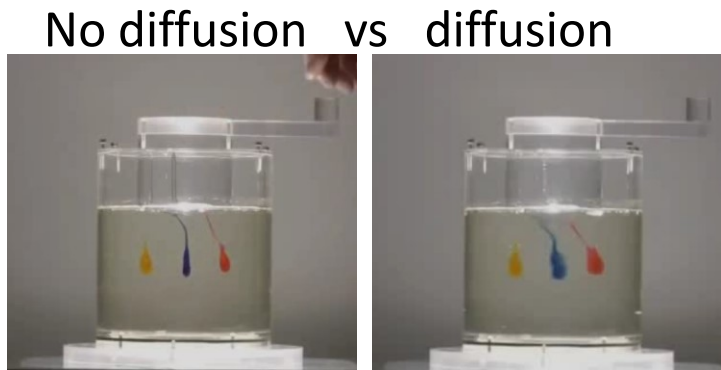
# Pulsed field gradient spin echo

- Magnetization evolution without diffusion



# Pulsed field gradient spin echo

- Magnetization evolution with diffusion
- Diffusion results in imperfect refocusing of magnetization.
- More diffusion leads to worse refocusing, and thus more signal attenuation





# Pulsed field gradient spin echo

$$S = S_0 e^{-D\gamma^2\delta^2G^2\Delta'}$$

*Stejskal-Tanner formula*

$S$  : signal amplitude

$S_0$  : signal amplitude without diffusion

$D$  : diffusion coefficient

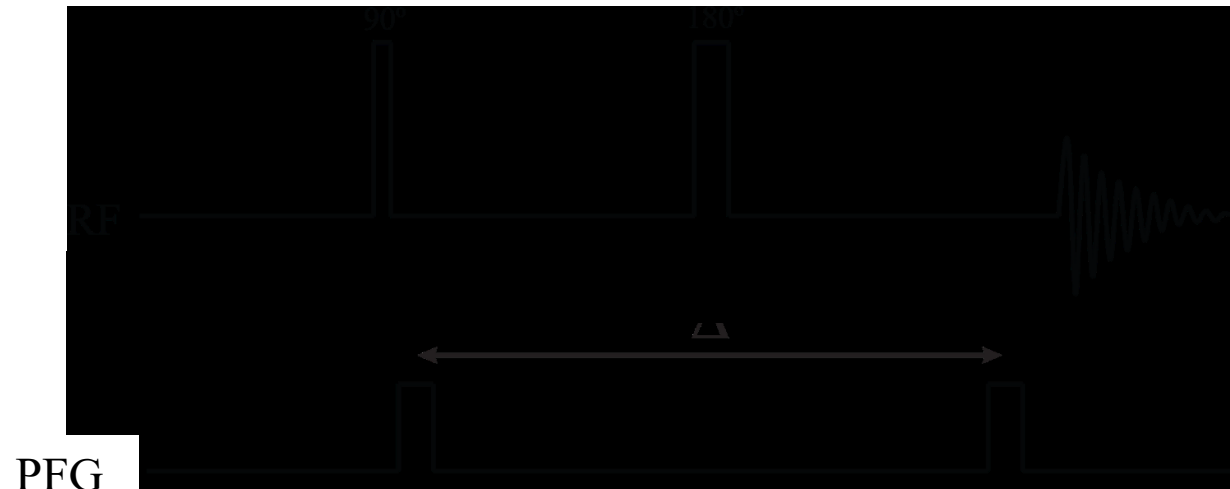
$\gamma$  : gyromagnetic ratio

$\delta$  : gradient pulse width

$G$  : gradient amplitude

$\Delta'$  : corrected diffusion time

- Application of PFG will result in a diffusivity dependent attenuation

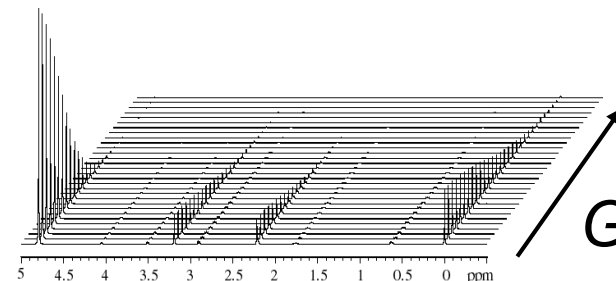
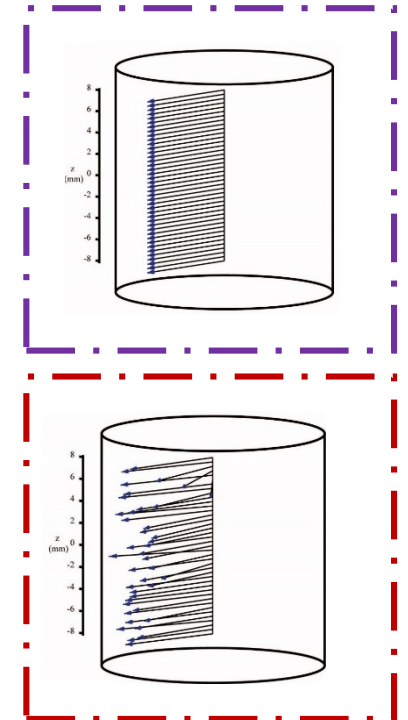


spin phases scrambled  
[  $\phi = \phi(z)$  ]

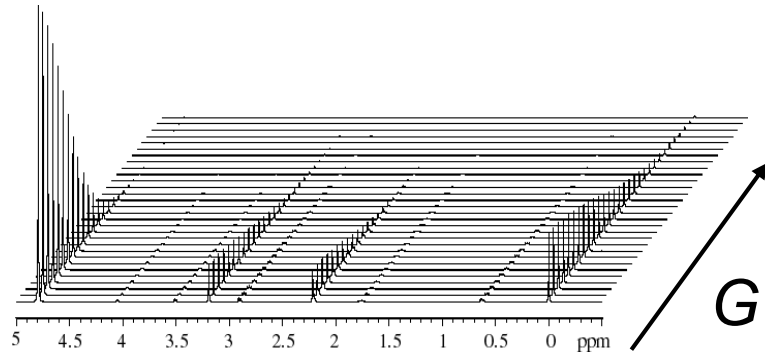
phases unscrambled

[  $\phi = \phi(z) - \phi(z) = 0$  ]  
if spins haven't moved

[  $\phi = \phi(z) - \phi(z) \neq 0$  ]  
when spins have moved



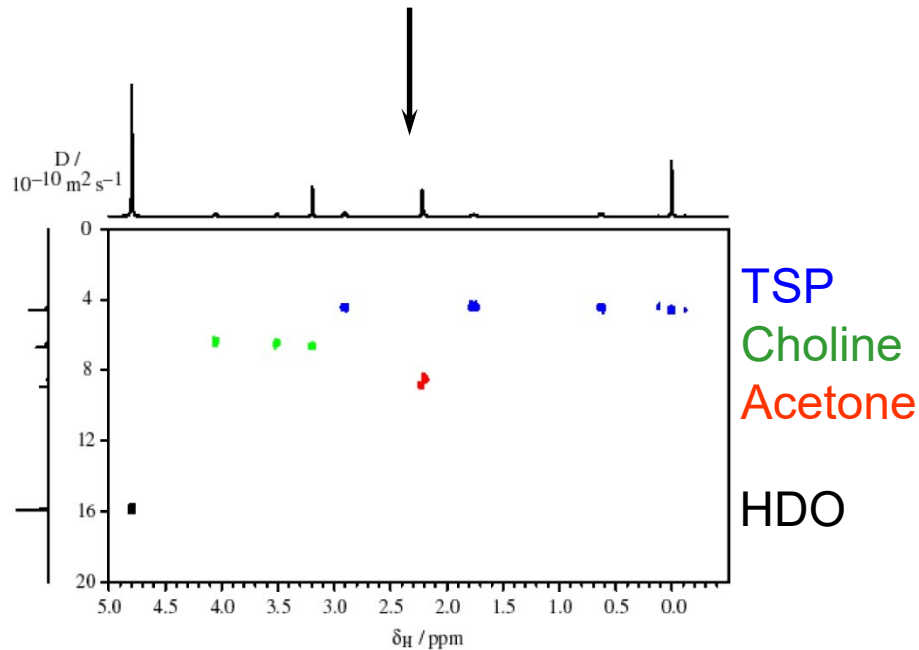
# Obtaining a DOSY spectrum



- Measure spectra as a function of  $G$
- Fit peak heights to get diffusion coefficients  $D$

$$S = S_0 e^{-D\gamma^2\delta^2G^2\Delta'}$$

- Extend 1D peaks into a second dimension, with Gaussian shapes centred on the  $D$ 's
- Widths in Y dimension determined by the standard errors  $\sigma_D$



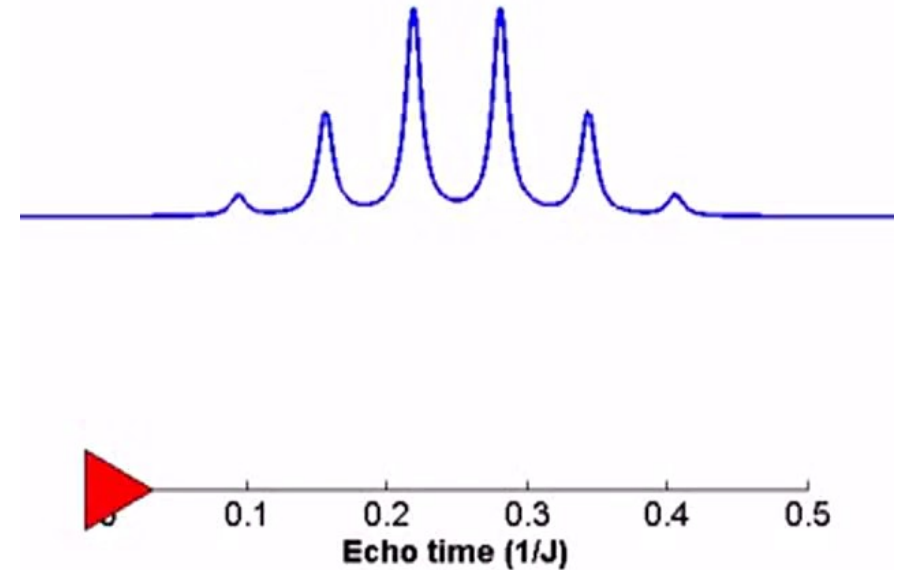
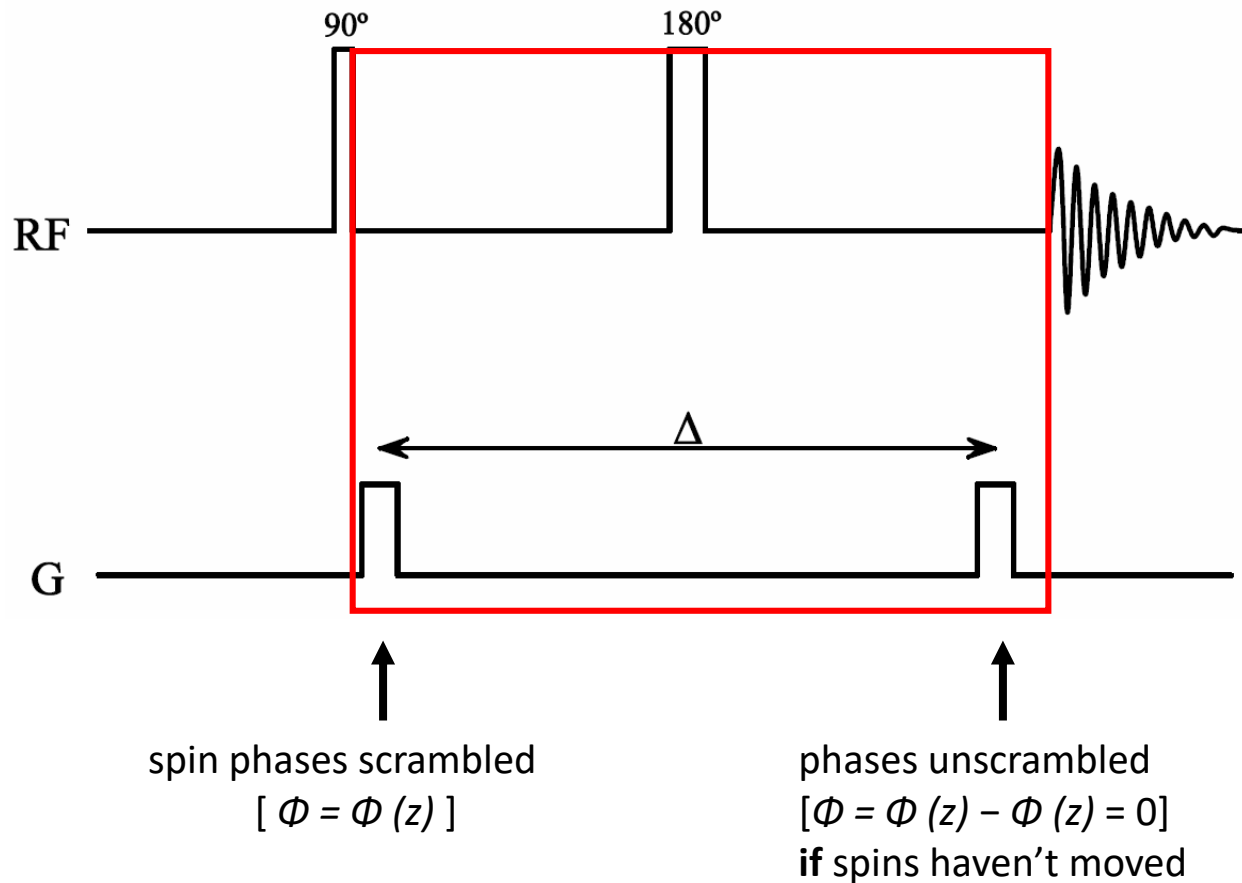
## Virtual chromatography

Despite the name, DOSY is not like COSY/NOESY: spectra are statistical constructs from, not transforms of, experimental data

# PFG Spin echo

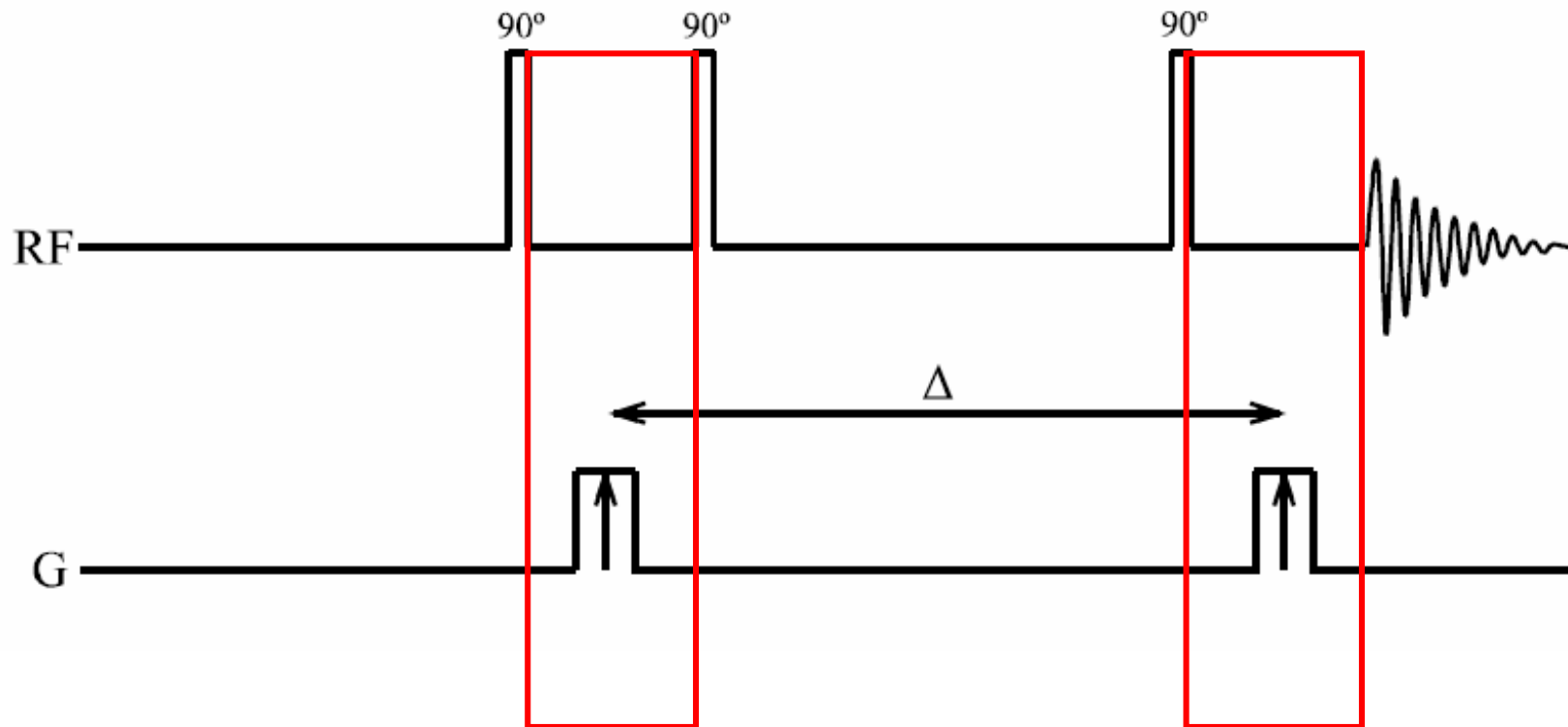
Field gradient pulses result in signal attenuation

$$S = S_0 e^{-D\gamma^2\delta^2 G^2 \Delta'}$$



# Solution: PFG Stimulated echo

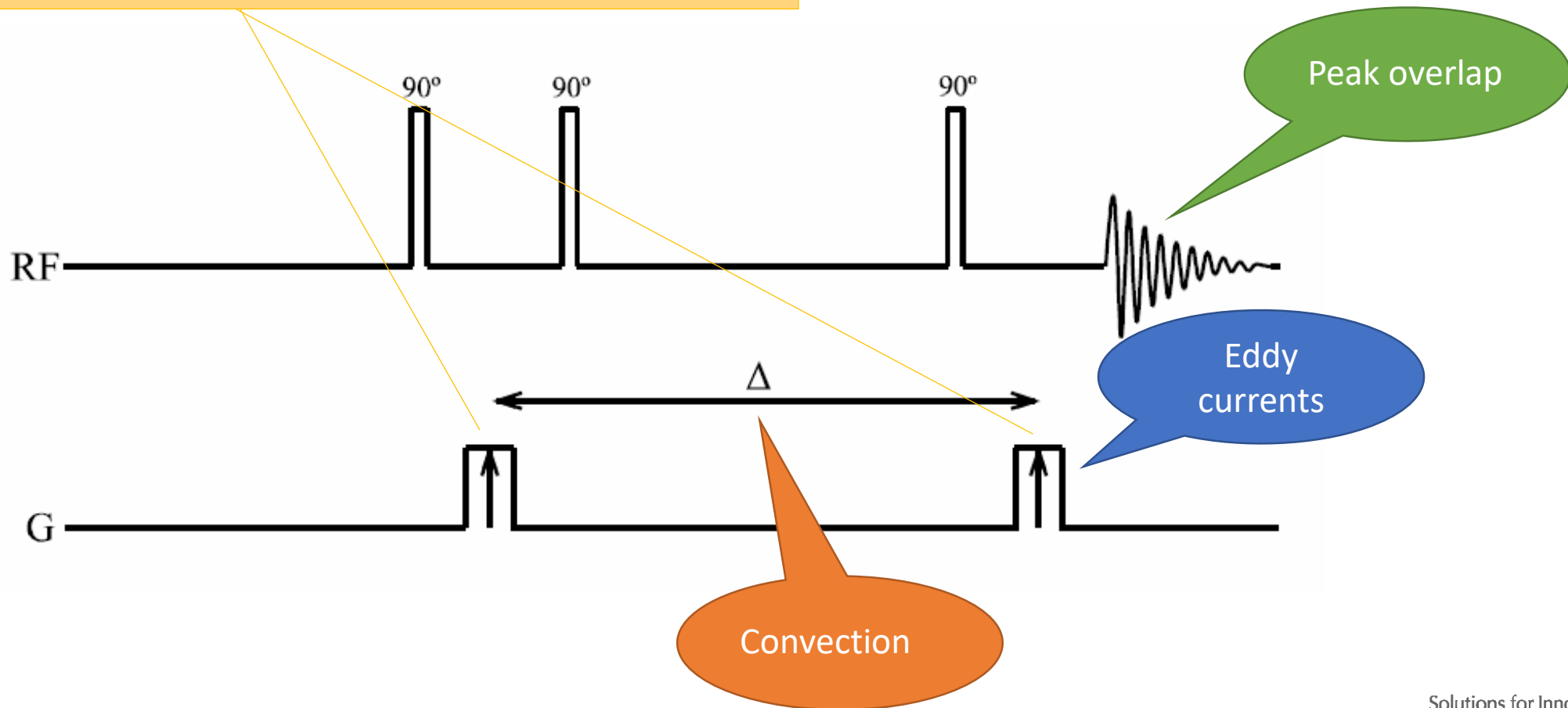
- Magnetization is stored along the z-axis for most of  $\Delta$
- Reduced  $J$ -modulation
- Lose 50% of magnetization



# Challenges with DOSY

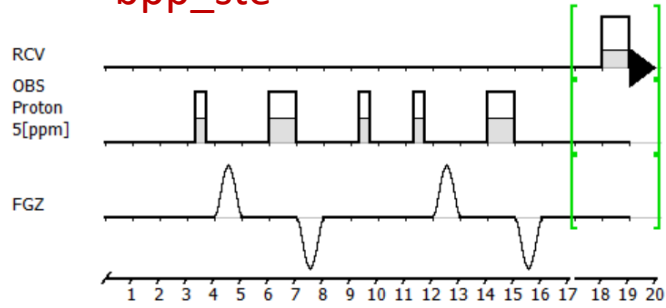
→ Goal: record high-resolution spectra shortly after executing high-amplitude gradient pulses

Lock disturbances from PFG pulses of high amplitude

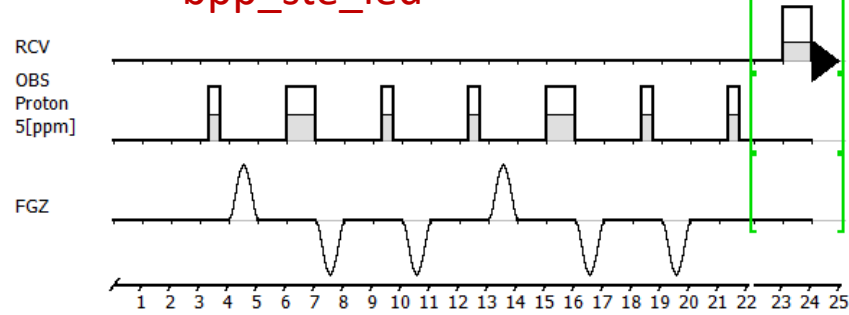


# Modern DOSY pulse sequences

## bpp\_ste

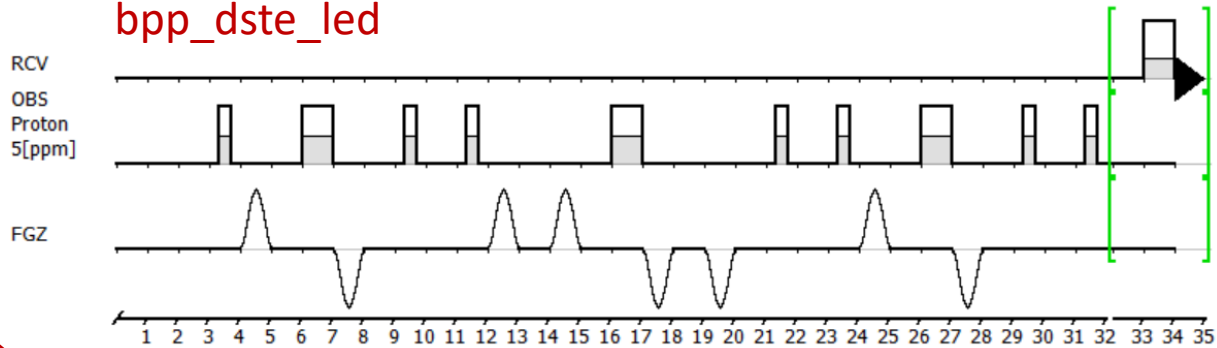


## bpp\_ste\_led

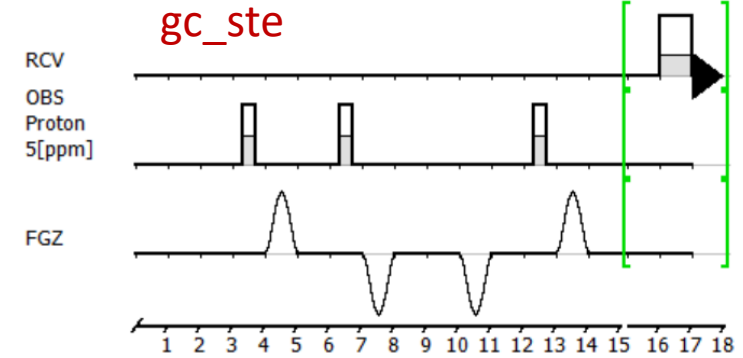


**2D DOSY**

## bpp\_dste\_led

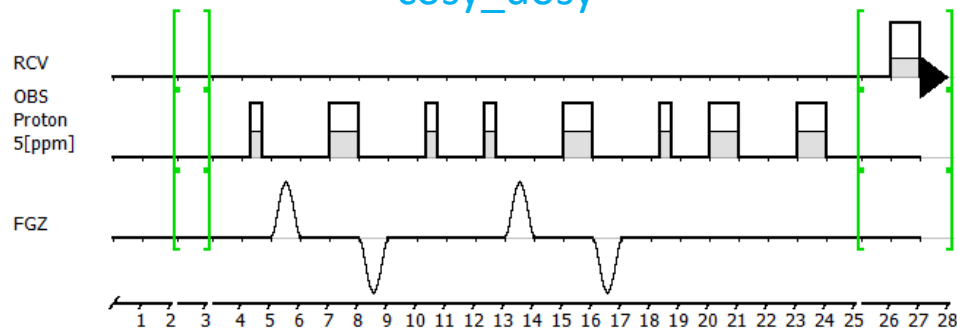


## gc\_ste

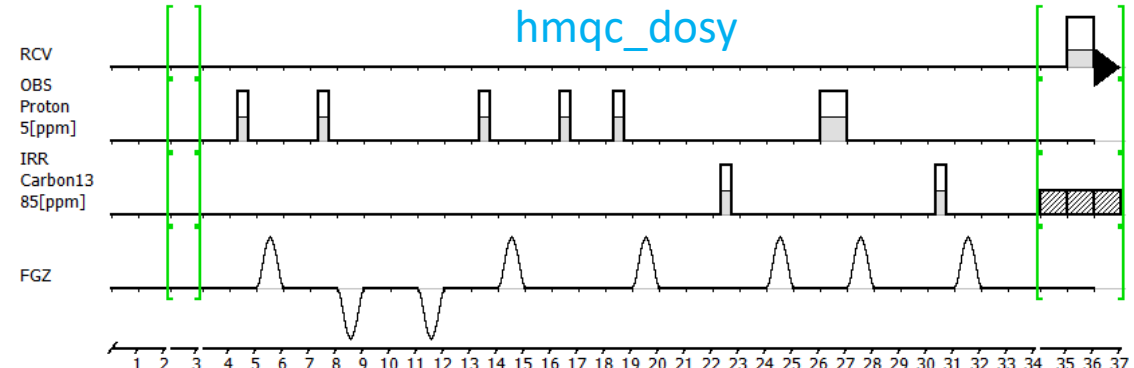


**3D DOSY**

## cosy\_dosy



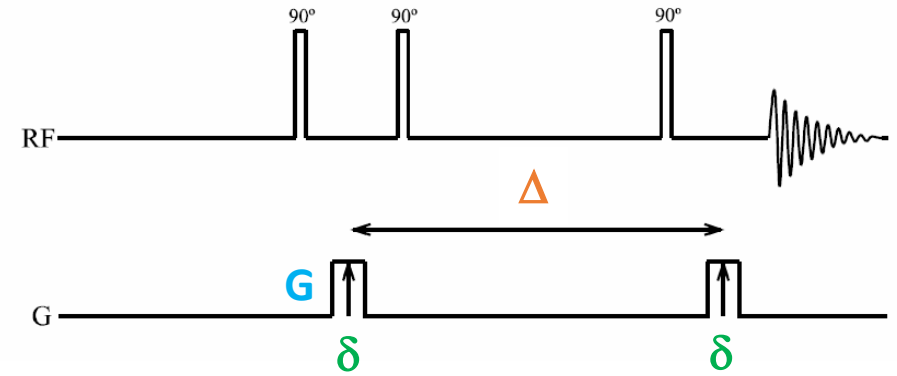
## hmqc\_dosy



# Practical consequences of the Stejskal-Tanner Eqn

Stejskal-Tanner formula for signal intensity:

$$S = S_0 e^{-D\gamma^2\delta^2 G^2 \Delta'}$$



-  $(\gamma \delta G_{zi})^2$  - **gradient area**

nuclei with high  $\gamma$  values are more sensitive for diffusion ( $^1\text{H}$ ,  $^{19}\text{F}$ ,  $^{31}\text{P}$ )  
(i.e.  $^1\text{H}$  is 16 times more sensitive than  $^{13}\text{C}$ )

-  $\delta$  **should be kept short**

during  $\delta$  the magnetization is transverse, homonuclear  $J$ -couplings evolve

-  $G$  **the more, the better**

provided the gradient hardware allows it

-  $\Delta$  **should be kept short:**

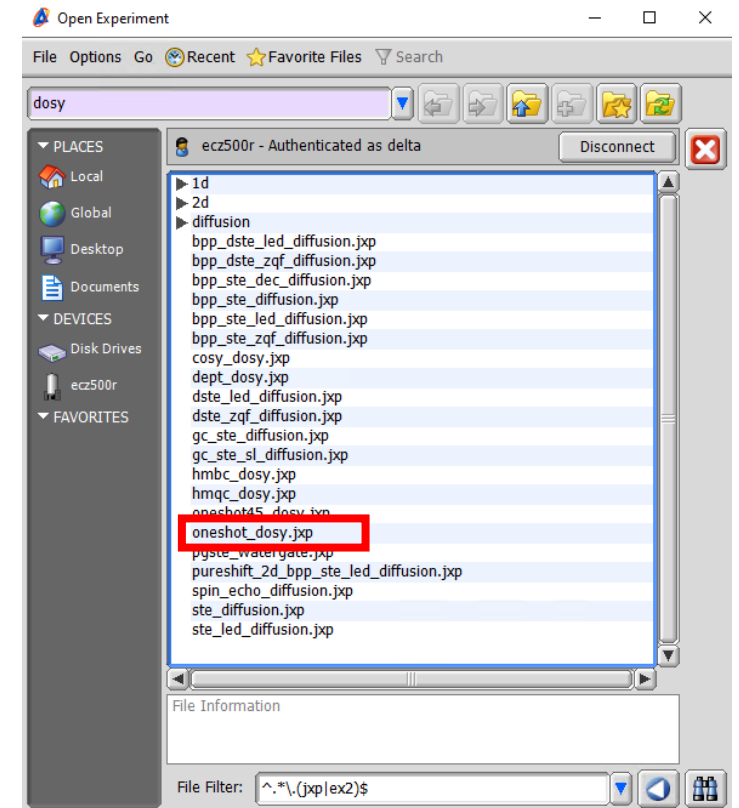
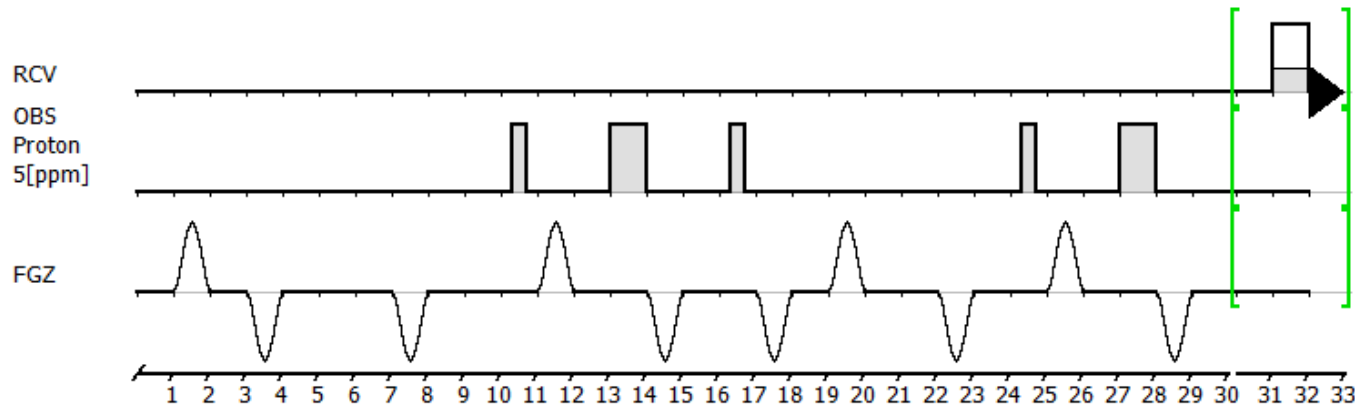
to minimize convection effects

# Setting up a DOSY experiment

## Preparation

- Insert sample into magnet
- Leave VT off if possible and let sample equilibrate for several minutes
- Lock, tune probe, shim
- Ensure lock phase is correctly set
- Create a job and collect a standard proton spectrum and check data quality (e.g. shimming)

- Select the DOSY experiment from the DOSY folder  
Suggested first experiment to try: oneshot\_dosy.jxp





# Setting up a DOSY experiment

$$S = S_0 e^{-D\gamma^2 \delta^2 G^2 \Delta'}$$

gradient_max	0.85[T/m]
diffusion_time	0.1[s]
delta	2[ms]
tau	2[ms]
alpha	0.2
g_max	0.70833[T/m]
g	3[mT/m]
grad_1	1[ms]
note	Do not exceed gradient_max value for grad_1_amp
grad_1_amp	3[mT/m]
grad_shape	SQUARE
grad_2	2[ms]

Keep diffusion time as short as possible

Keep delta short – 2[ms] is good for many samples

We need to set up an array of G values (typically 10-30) between a “low” and a “high” value

# Setting up a DOSY experiment

Header Instrument Acquisition Pulse Diagram Favorites

Diffusion

gradient\_max 0.85[T/m]

diffusion\_time 0.1[s]

delta 2[ms]

tau 2[ms]

alpha 0.2

g\_max 0.70833[T/m]

**g** 3[mT/m]

grad\_1 1[ms]

note Do not exceed gradient\_max value for grad\_1\_amp

grad\_1\_amp 3[mT/m]

grad\_shape SQUARE

grad\_2 2[ms]

Set g

Dimension Array Type

None  Listed

Y  Z

A  B

C  D

E

70[mT/m]  
500[mT/m]

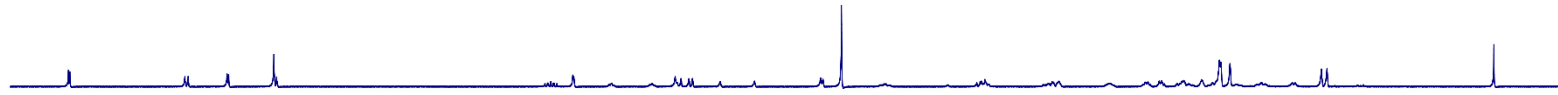
y\_acq {70[mT/m], 500[mT/m]}

Set Value Cancel

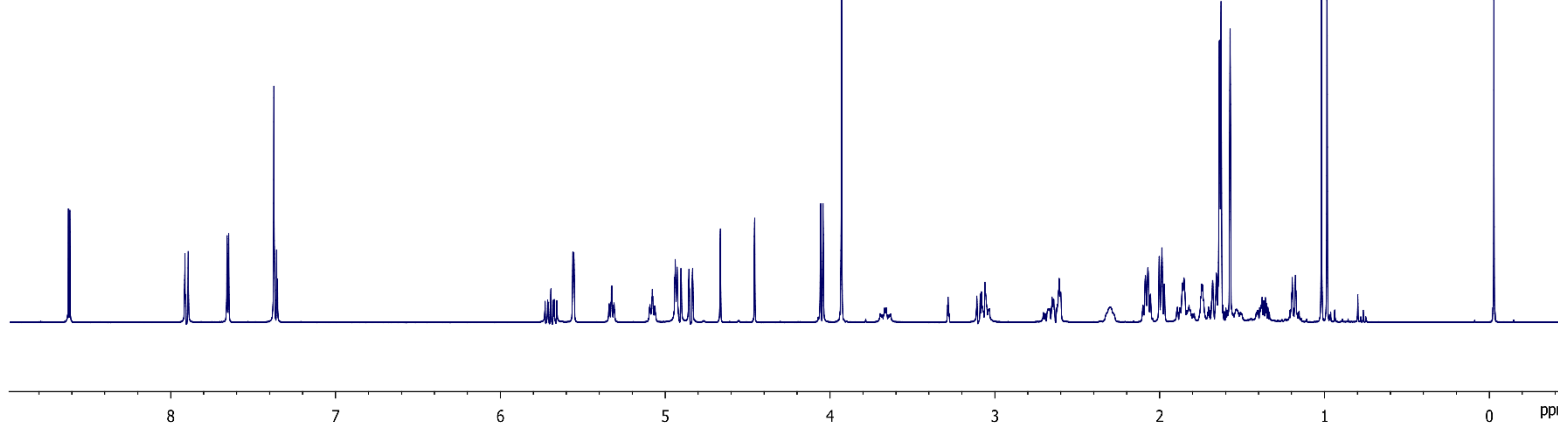
- Use most of the gradient amplitude range available
- Set two gradient values  $g$  to start with, a “low” value and a “high” value
- Do not set “low” too low  $\rightarrow$  default 3[mT/m] is way too low. 100[mT/m] is better
- Aim for around 90% attenuation of the fastest diffusing component, or at least 50% attenuation of the slowest-diffusion component
- If possible, reduce diffusion\_time to reduce attenuation rather than maximum  $g$

# Setting up a DOSY experiment

“High” gradient (~ 90% attenuation)



“Low” gradient



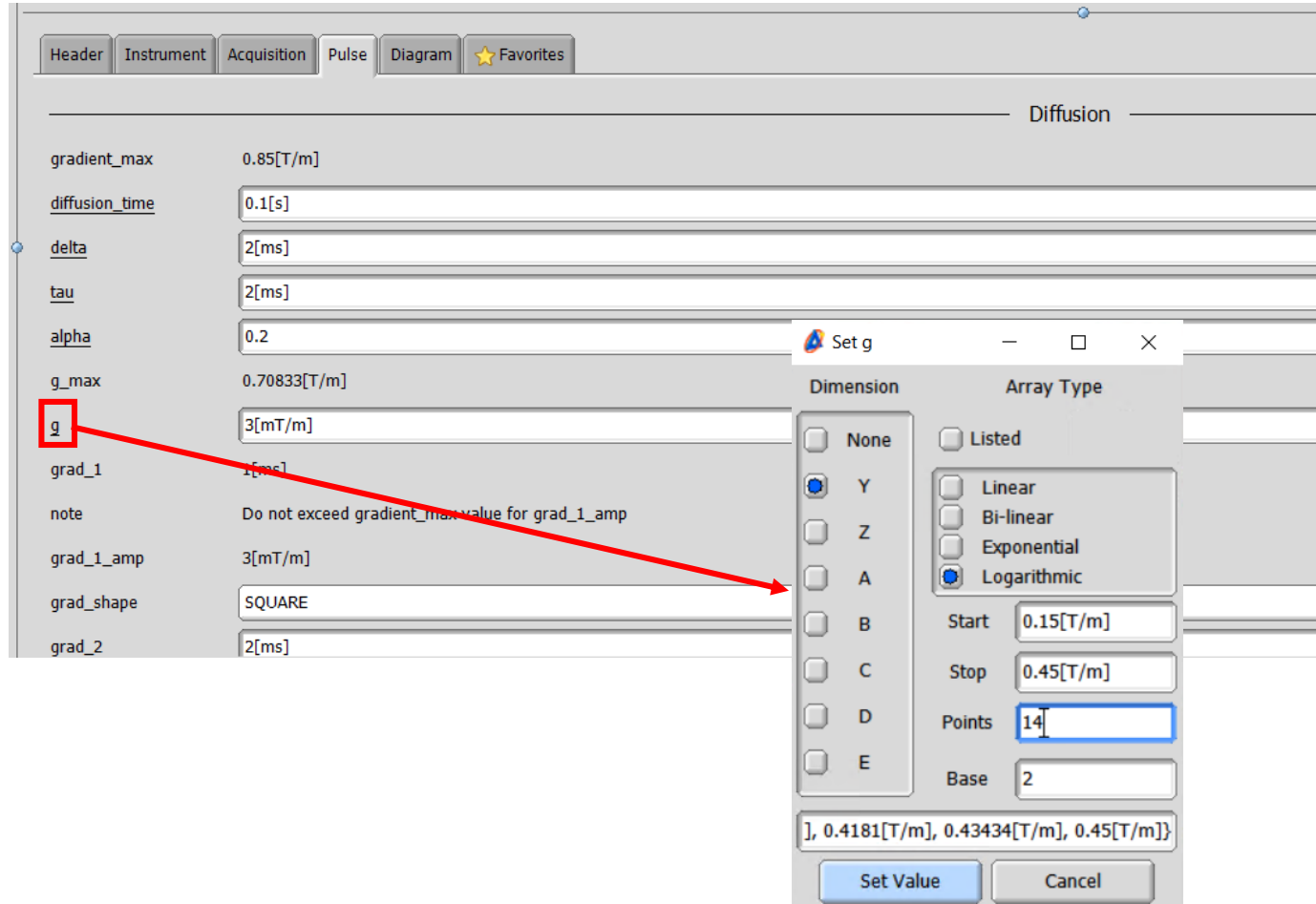
✓ Check peak attenuations

✓ Check the baselines

✓ Check the phasing, should be the same and good in both spectra. If not:

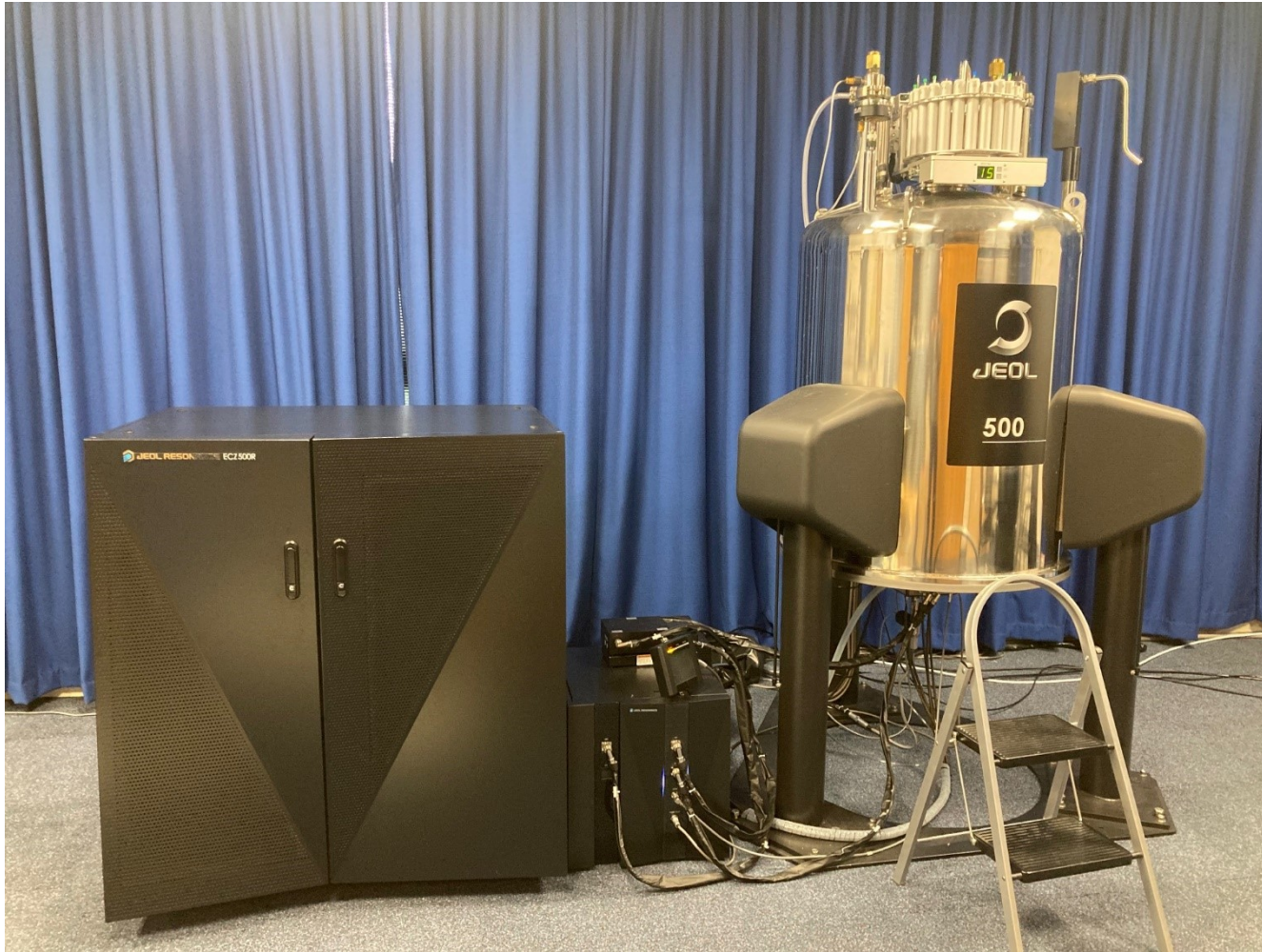
- Convection?
- Badly adjusted lock?
- “Lock hold” function not enabled?
- Gradient recovery delay too short?
- Insufficient scans for minimum phase cycle? Even oneshot benefits from phase cycling

# Setting up a DOSY experiment



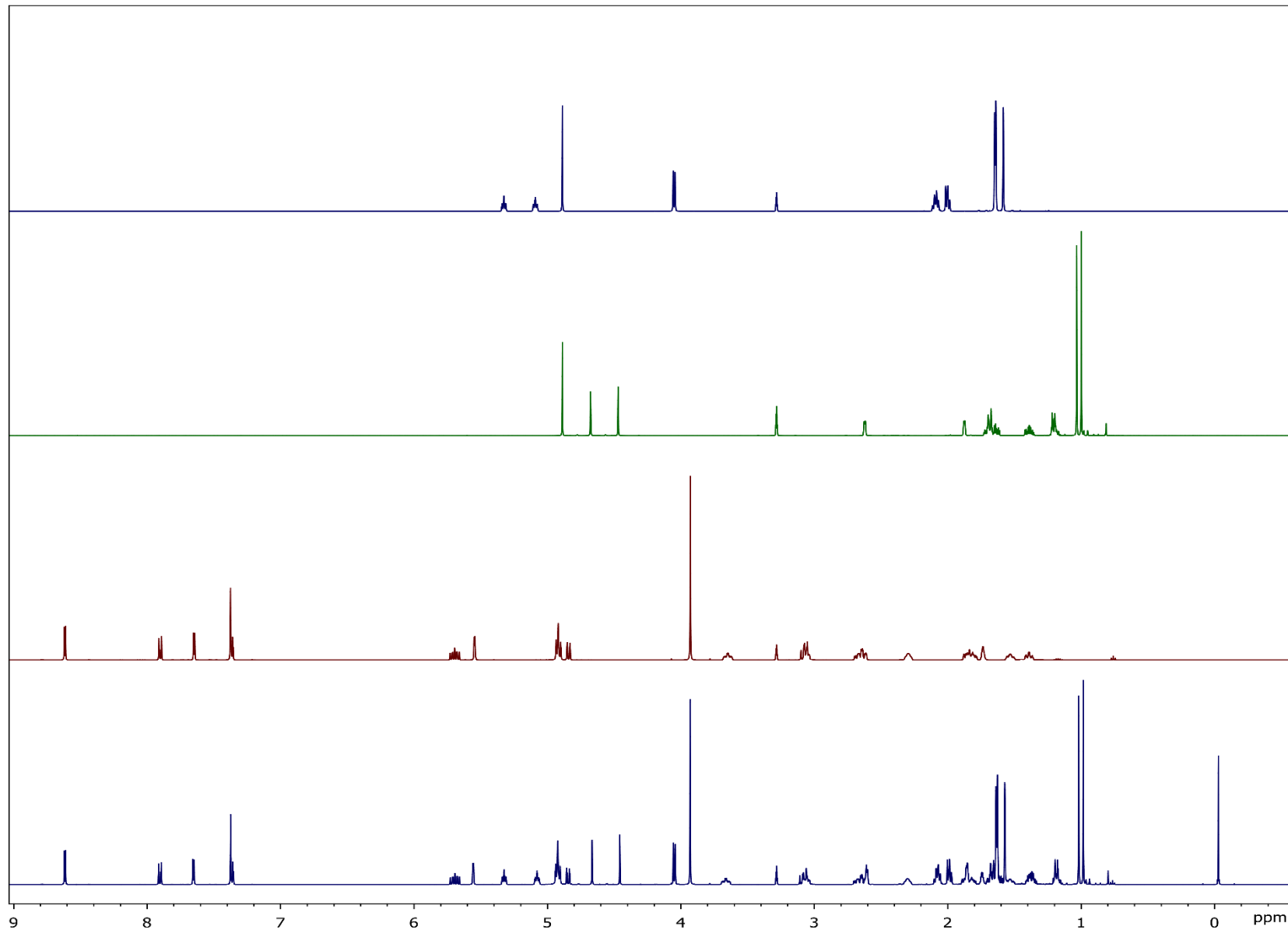
- Once the attenuation level and phasing for the “low” and “high” spectra look good, set up full DOSY array
- Uncheck “Listed”, select “Logarithmic” option and set “Base” to 2
- Use 10 – 30 gradient values. If sample has wide range of D values, more is helpful
- Make sure you use enough scans (not just for SNR but for phase cycling)

# Practical session 1: quick oneshot DOSY

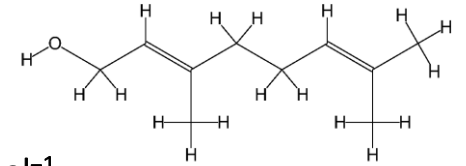


- JEOL ECZ500R Spectrometer
- ROYAL HFX probe
- Maximum gradient strength 0.9 T/m (90 G/cm)
- ASC 24 autosampler
- Autotune module

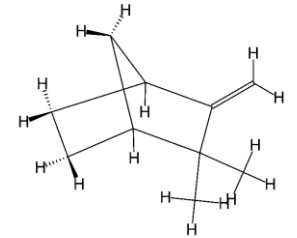
# Practical session 1: quick oneshot DOSY



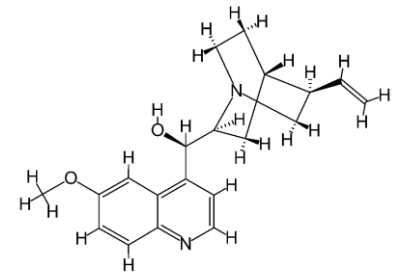
Geraniol  
 $C_{10}H_{18}O$   
 $154.253 \text{ g}\cdot\text{mol}^{-1}$



Camphene  
 $C_{10}H_{16}$   
 $136.238 \text{ g}\cdot\text{mol}^{-1}$



Quinine  
 $C_{20}H_{24}N_2O_2$   
 $324.424 \text{ g}\cdot\text{mol}^{-1}$



“QCG” + TSP-d4 mixture in  $CD_3OD$

# Practical session 1: quick oneshot DOSY

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## Steps

1. Preparation: sample equilibrated, locked and shimmed
2. Collect proton spectrum
3. Set up oneshot DOSY experiment and optimize diffusion parameters
4. Collect quick (4 scans, 14 increments) oneshot DOSY dataset (~8 minute expt)
5. Process data in JASON

# Interference in diffusion measurements: convection

- Once a critical Raleigh number is reached (1700 for a Benard configuration, i.e., two parallel horizontal boundaries separated by a distance  $d$ ), natural convection appears.

$$Ra = \frac{\rho\beta\Delta T l^3 g}{\eta\alpha}$$

$g$ : gravity

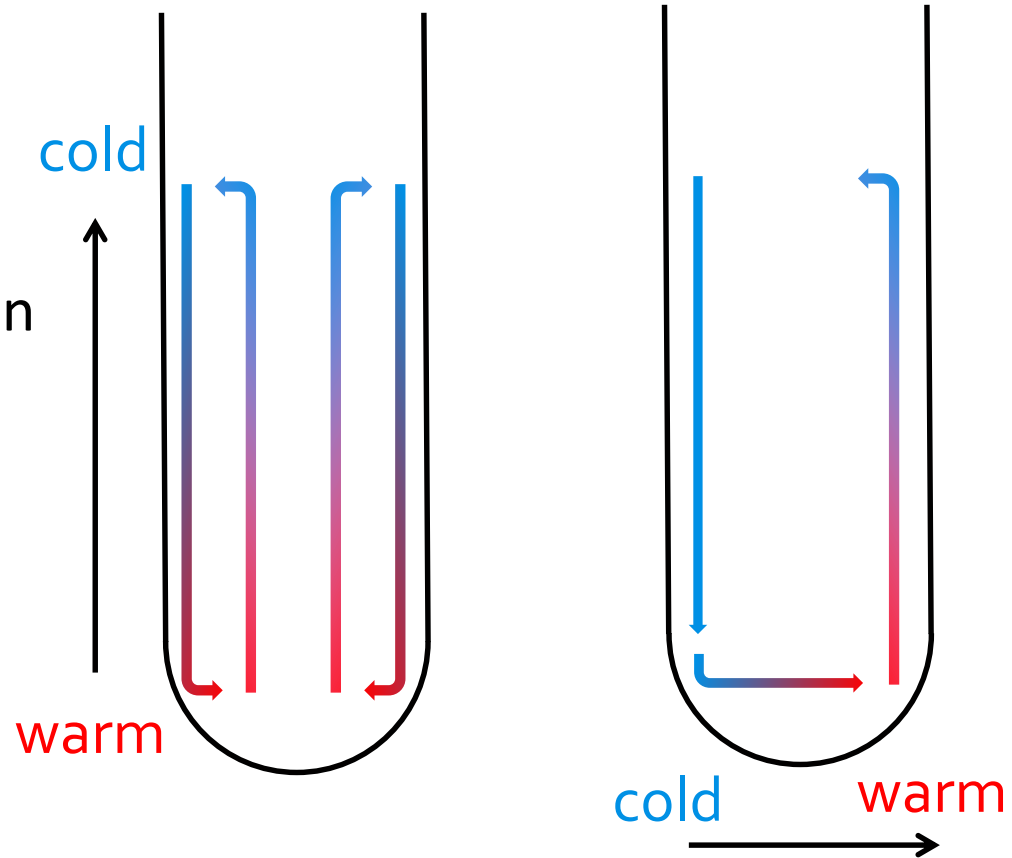
$\beta$ : coefficient of thermal expansion

$\Delta T$ : temperature difference

$l$ : length

$\eta$ : viscosity

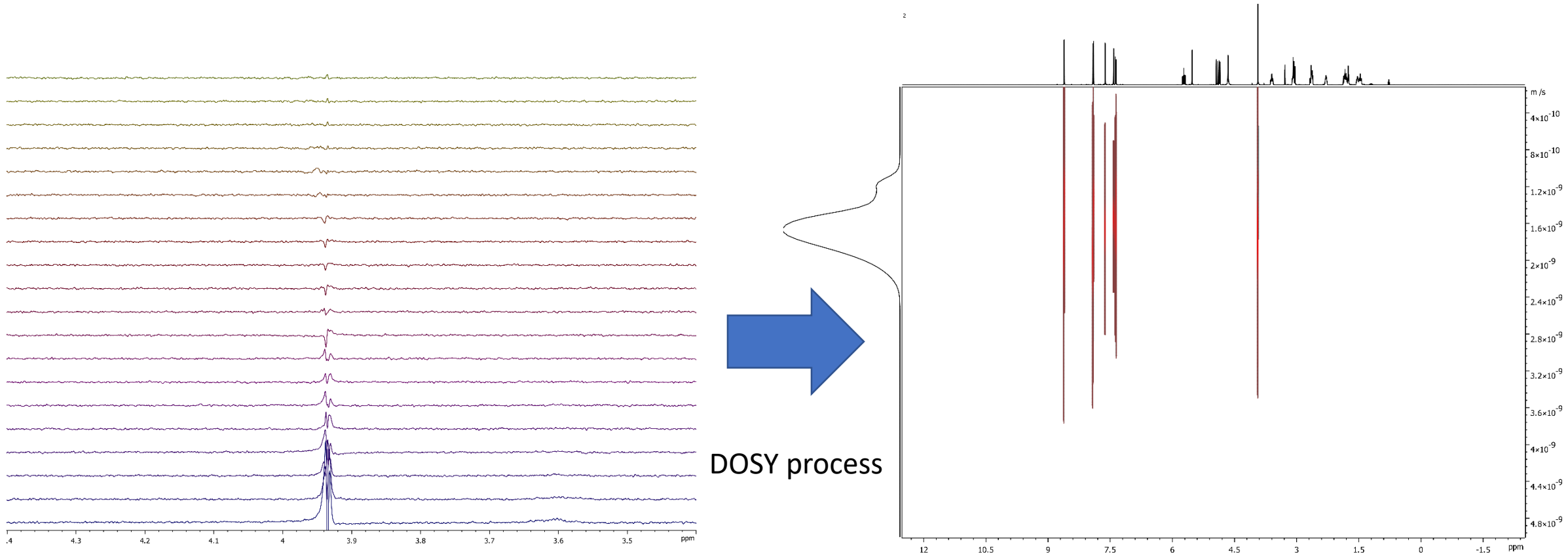
$\alpha$ : thermal diffusivity





# Interference in diffusion measurements: convection

Quinine in CD<sub>3</sub>OD at 45° C – oneshot sequence



# Reducing convection in DOSY

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## Use a smaller diameter tube

Probably the most effective method, but costs you (typically 50%) in sensitivity. A 3mm tube or a thick-walled 5mm tube are good choices

## Use a more viscous solvent

D<sub>2</sub>O and DMSO are good choices. Solvents like chloroform and acetone convect very easily

## Turn off the VT control

Leaving the probe to equilibrate at ambient temperature minimizes temperature gradients.

## Restrict the sample height

E.g. using a Shigemi tube. Significantly less effective than a small diameter but preserves more signal.

## Use a sapphire tube

Expensive but the high heat conductivity helps reduce temperature gradients.

## Increase the VT air flow

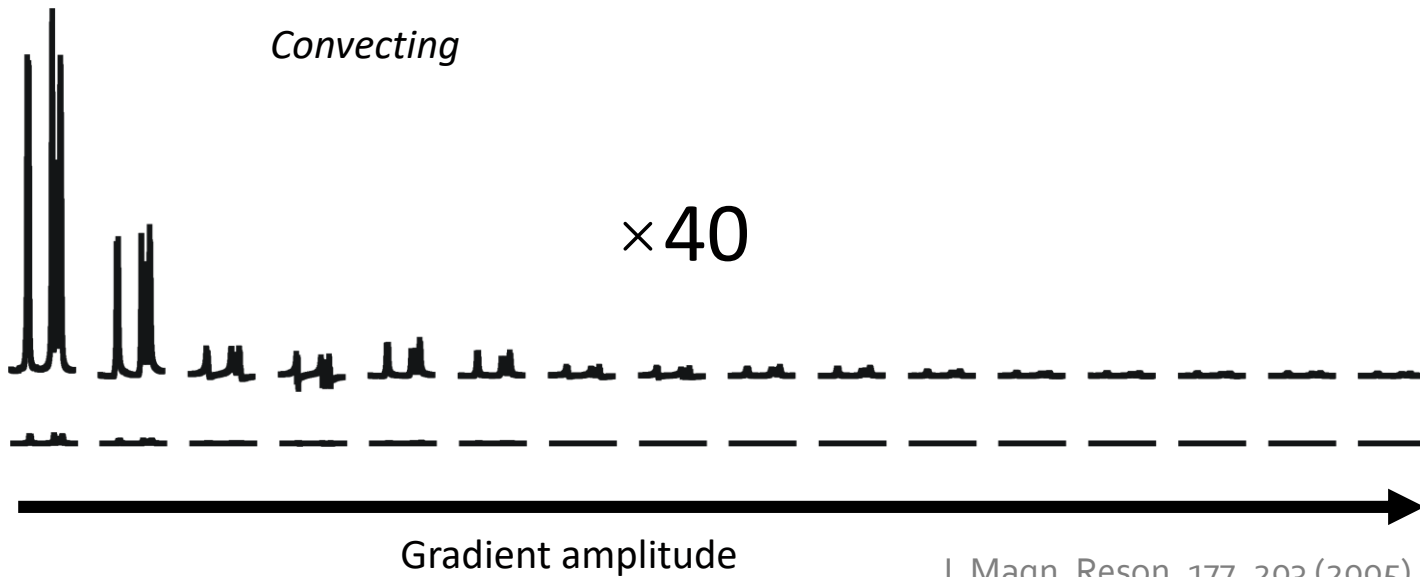
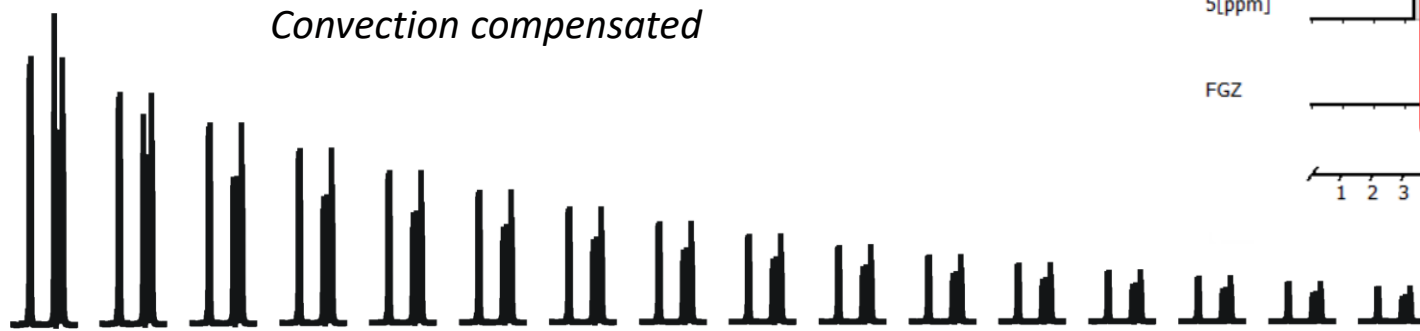
Helps reduce temperature gradients, but vibrations can disturb the measurements.

## Use convection compensated sequences

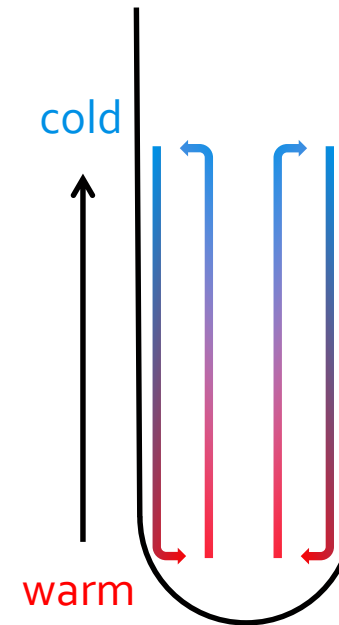
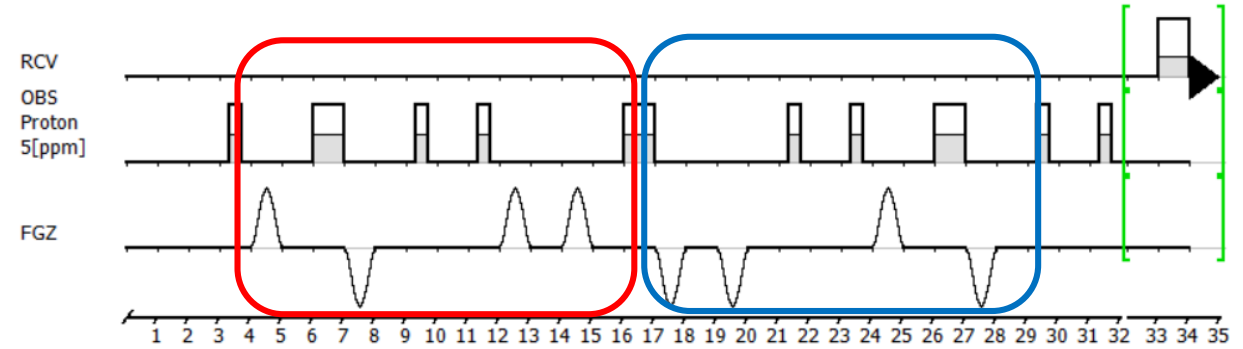
“Last resort” e.g. for high and low temperature experiments. Good but not perfect compensation. Costs 50% in sensitivity and requires a lot (64 scans) phase cycling.

# Convection compensated DOSY

Quinine in CDCl<sub>3</sub> at 25 °C

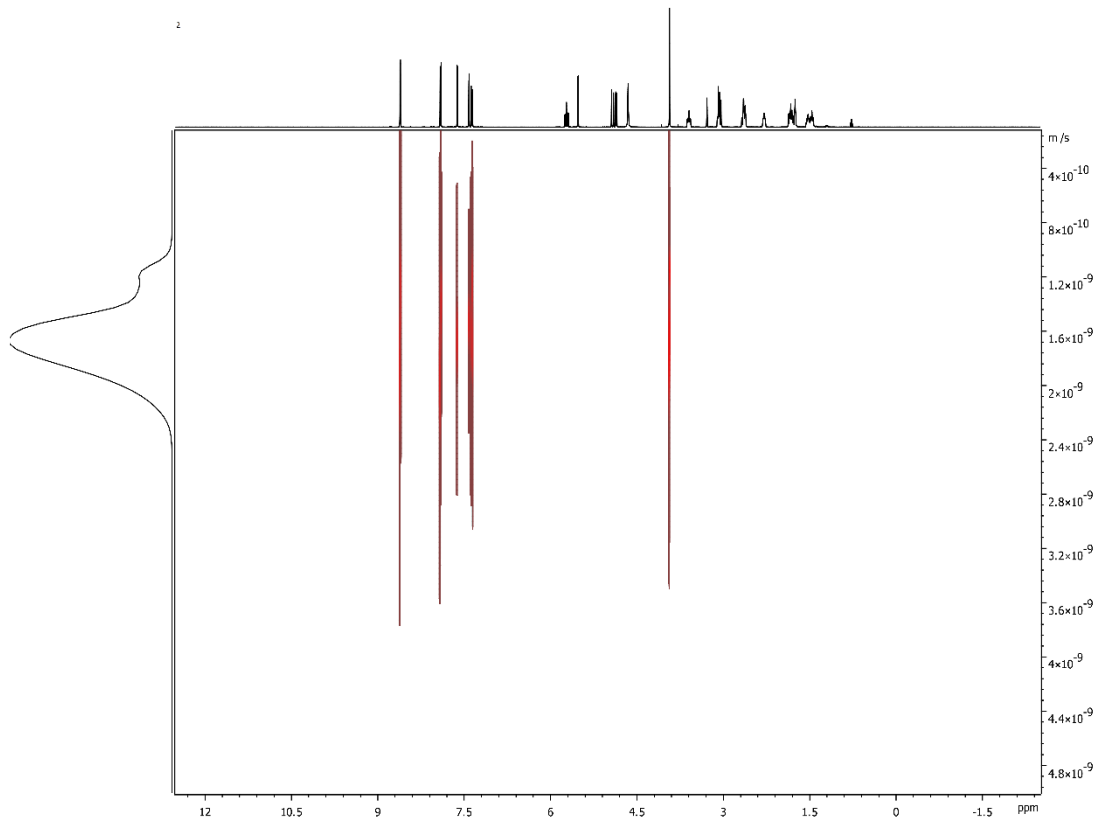


Pulse Viewer: bpp\_dste\_led\_diffusion.jxp

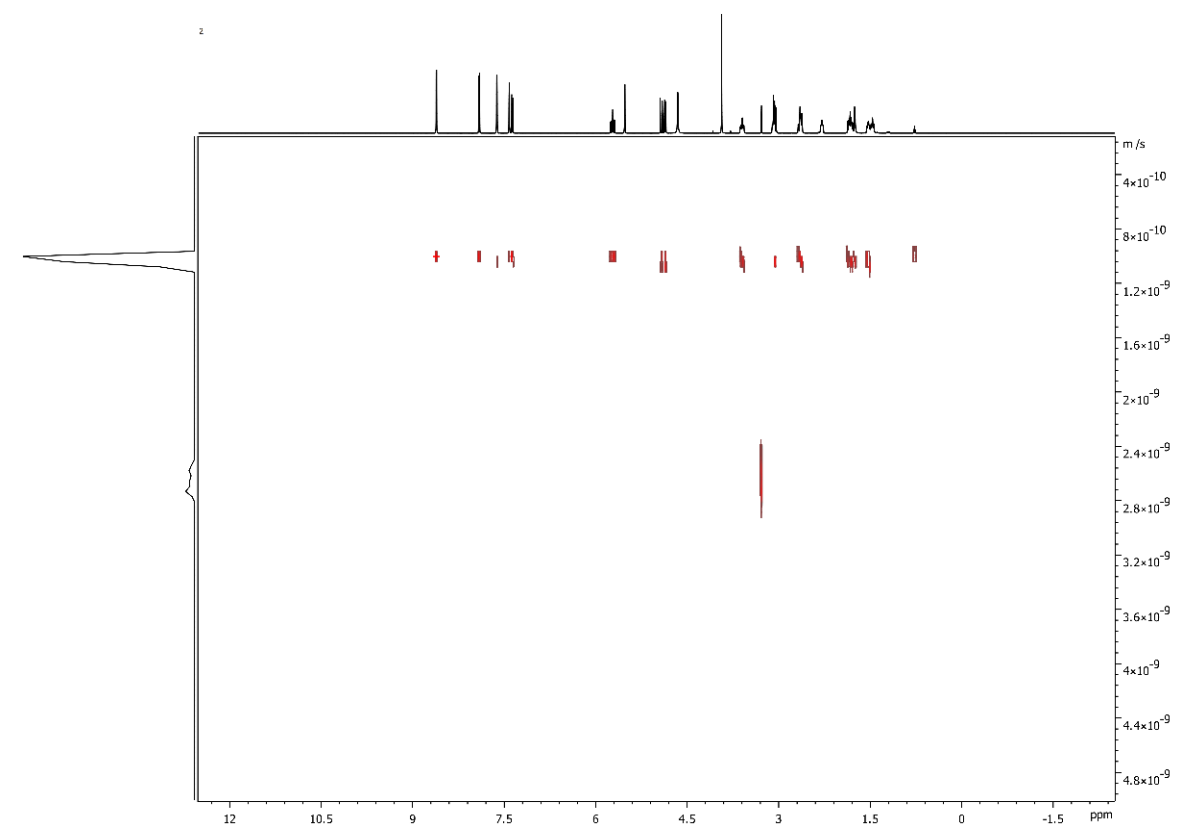


# Convection compensated DOSY

Quinine in CD<sub>3</sub>OD at 45° C



No convection compensation  
(onshot\_dosy.jxp)



Convection compensated  
(bpp\_dste\_led\_diffusion.jxp)

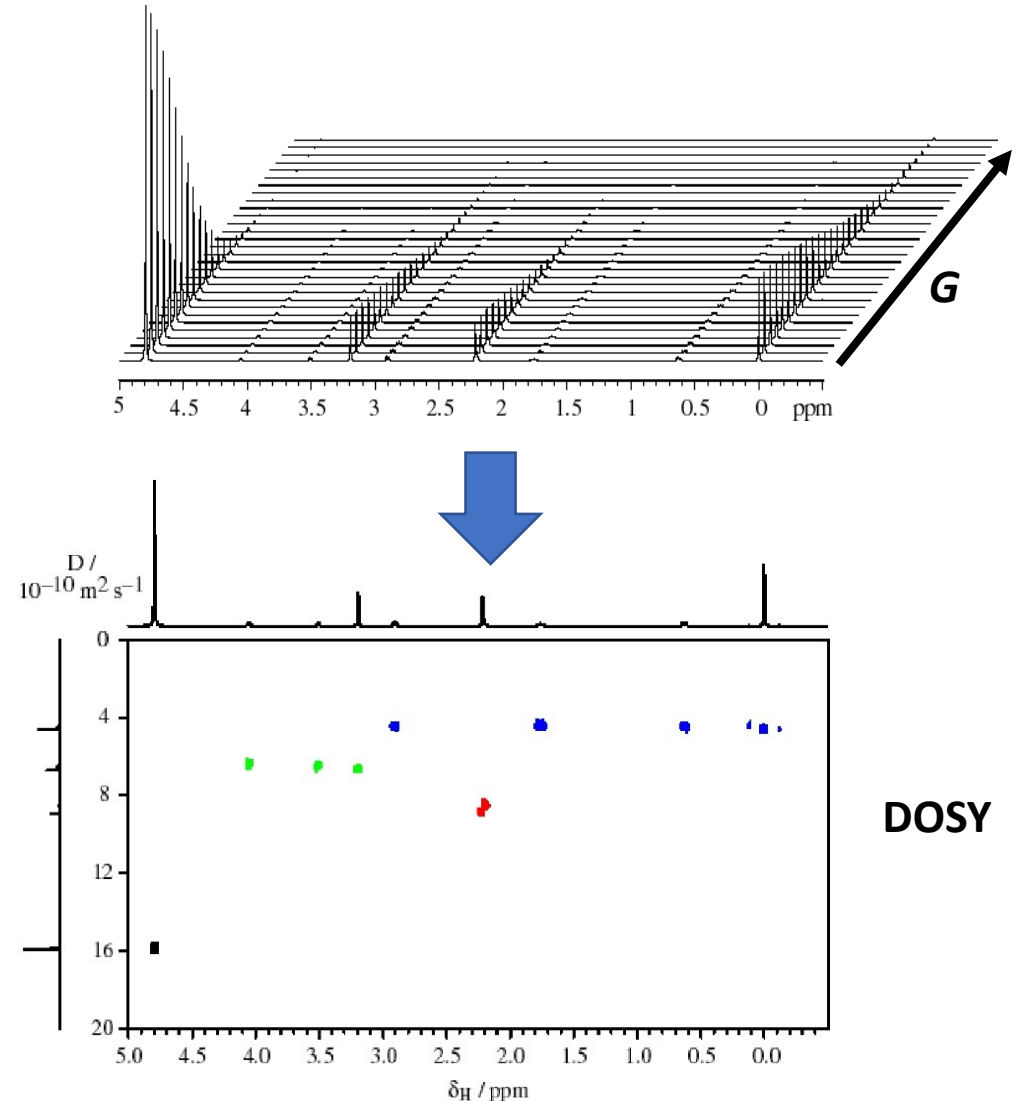
# Processing of DOSY data

## Univariate

- Mono-exponential fitting
  - Simplest and most robust
  - Each signal decay fitted separately
  - Peak overlap will give intermediate D values
  - Should be first analysis method
- Multi-exponential fitting
  - Requires extremely high quality and high SNR data
  - Systematic sources of non-exponential decay (e.g. phase roll, gradient non-uniformity) should be removed, or accounted for in the fitting
  - Overlapped signals that have very similar D values hard to separate

## Multivariate

- E.g. SCORE, OUTSCORE, DECRA, MCR
  - Very sensitive to data imperfections
  - Not really advised for 2D DOSY data
  - Better with additional dimensions, e.g. relaxation, kinetics

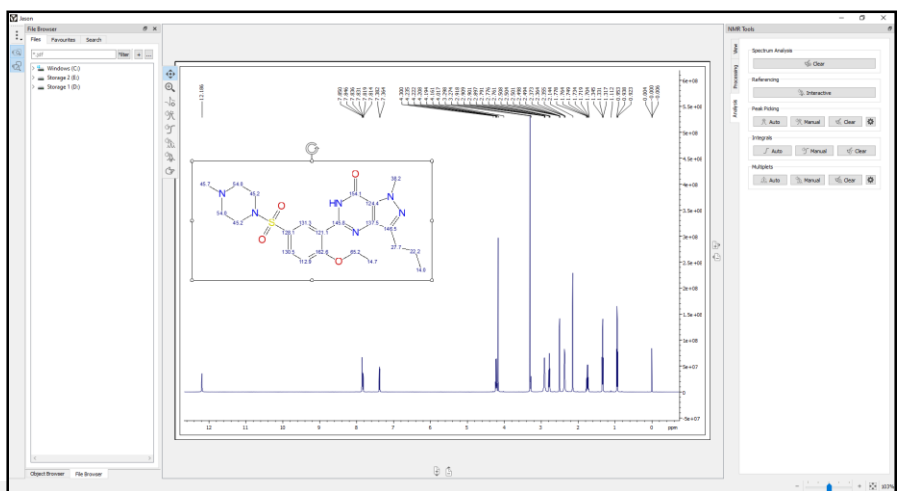


# JASON software



# JASON

JEOL Analytical Software Network



## Main Features

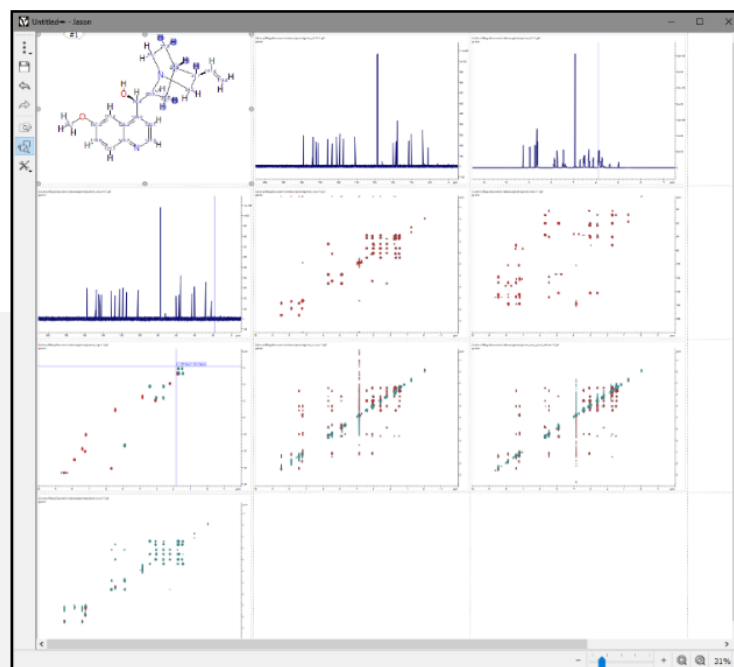
1D / 2D processing , Peak Deconvolution,  
 $^1\text{H}$ ,  $^{13}\text{C}$  chemical shift prediction ,  
Multiplet analysis,  
Spin simulation, Molecular structure drawing,  
Multi language support, etc

**New in JASON ver. 1.3 - DOSY processing**

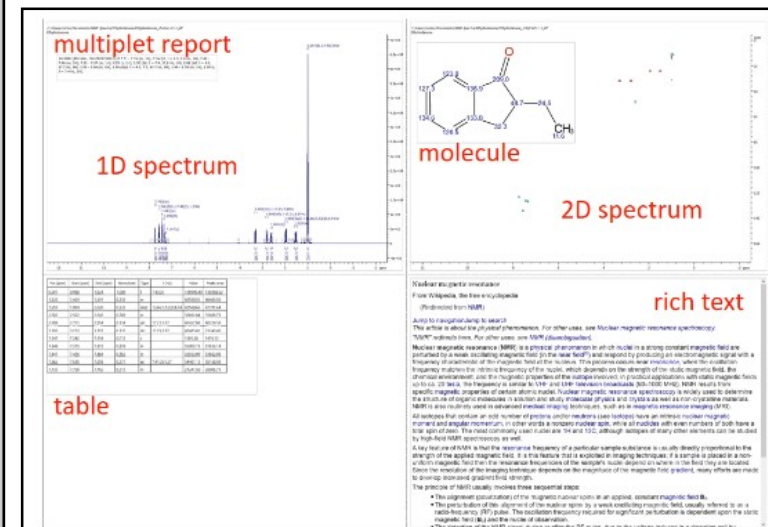
Supported OS : Windows 10, Mac

## Simplified user experience:

- Easy to navigate between your data sets
- Functionality at your fingertips, no need to search through multiple layers of nested menus
- NMR analysis at a button click
- Reporting



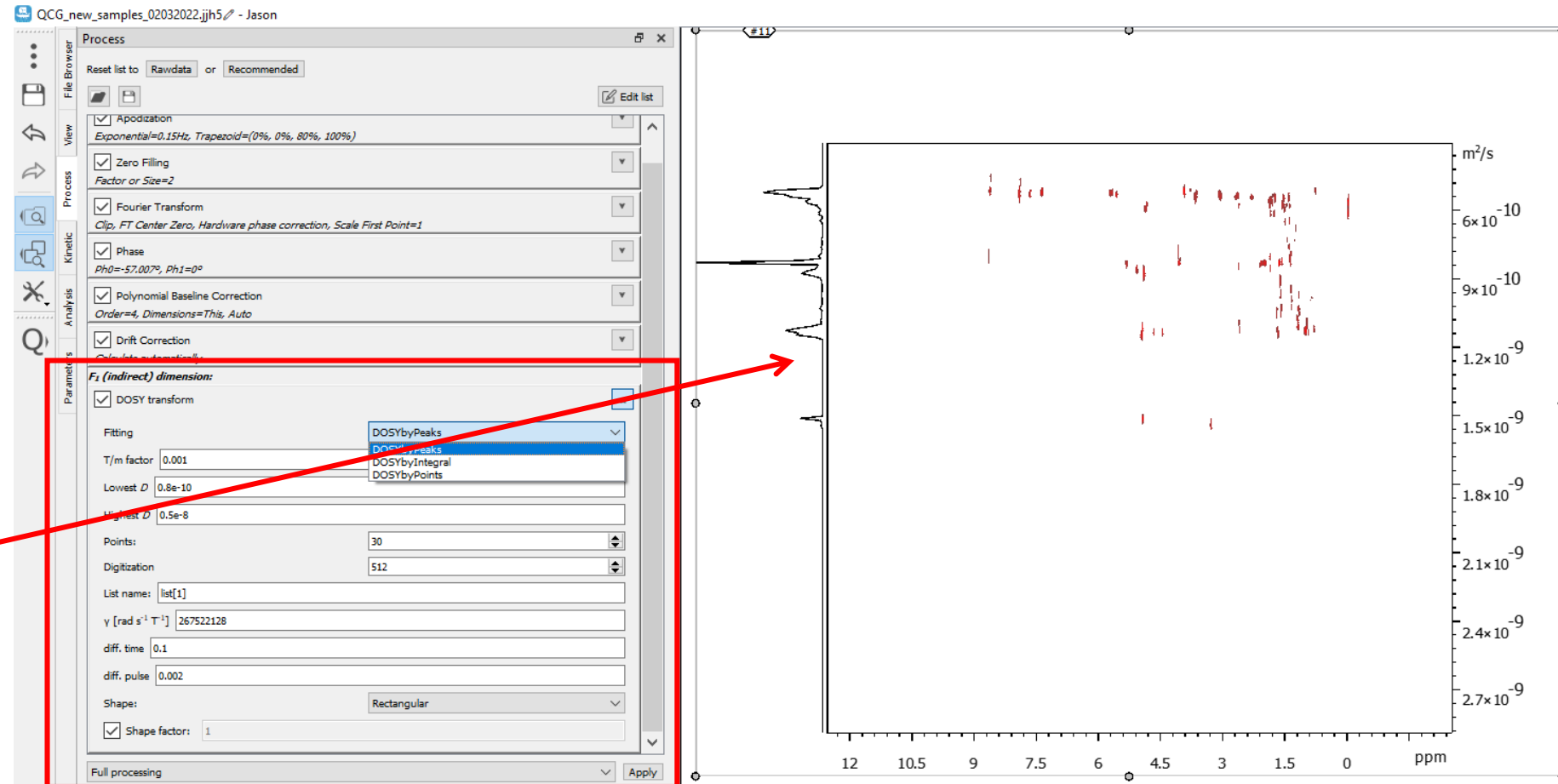
CANVAS



JASON document contains different objects of various types

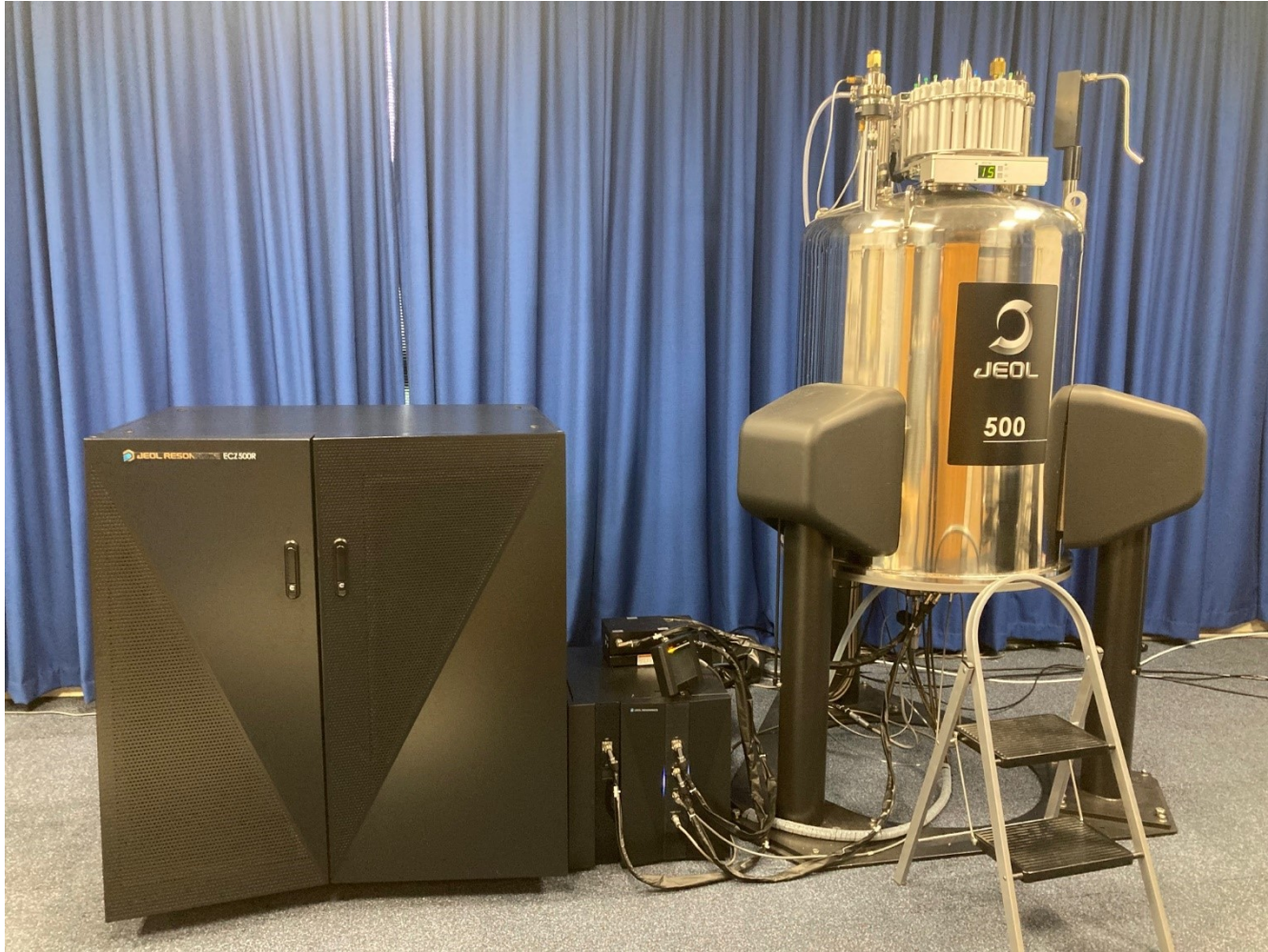
# DOSY processing in JASON

- JASON automatically detects experiment type and adds “DOSY transform” step to processing list for DOSY data
- Currently supports mono-exponential fitting of peak amplitudes, integrals or points using linear least-squares
- Generates DOSY plot with diffusion projection along Y-axis



Watch DOSY processing and other JASON videos: <https://www.jeoljason.com/new-version-1-3>

# Practical session 2: DOSY processing in JASON



Back to the spectrometer...



# Summary / Take Home Message

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- DOSY is a powerful NMR technique for mixture analysis, probing molecular interactions and a wide range of applications
- DOSY is not a “plug-and-play” technique and requires careful set up of experiment parameters and conditions to get good results – but it is not difficult to do once you know how 😊
- The simplest and most robust DOSY processing uses a single-component (mono-exponential fit) of peak amplitudes or peak areas
- JASON software version 1.3 features easy and intuitive DOSY processing using mono-exponential fitting

# Acknowledgements



**Dr. Adolfo Botana**  
JEOL UK applications



**Dr. Peter Kiraly**  
JEOL UK JASON software team



**Prof. Mathias Nilsson**  
Dept. of Chemistry, University of Manchester, UK

**Vielen Dank für Ihre  
Aufmerksamkeit und  
Teilnahme!**

**Zeit für Q&A**

# Thank you

Webinars announced in our Twitter:



<https://twitter.com/JEOLEUROPE>

You can discover more about JEOL NMR at:

- <http://www.jeol.co.jp/en/> (Products -> NMR)
  - Description of our products
  - Free processing software
  - Free natural products database
  - Application notes
  - And more
- <http://nmrsupport.jeol.com/> (Delta license)

The screenshot displays the JEOL NMR website interface. At the top, there is a navigation bar with the JEOL logo and links for PRODUCTS, APPLICATIONS NOTES, SUPPORT, and ABOUT US. Below this is a NEWS section with several entries dated from 2018/08/14 to 2018/08/24, including updates on NMR challenges and software tips. A featured article titled 'Important information for NMR users' is also visible. The CASE STUDY section features three images with captions: 'Synthetic Organic Chemistry Laboratory (Kobayashi Lab.)', 'Analysis Center (CRL), Central Research Laboratories, DIC Corporation', and 'Toray Research Center, Inc. (Shiga)'. The PRODUCT LINEUP section shows five categories: ECZR NMR spectrometer FT NMR, ECZS NMR spectrometer FT NMR, Delta NMR Software, Year Hold Magnet, and Magnet. At the bottom, there is a grid of knowledge base articles including 'NMR data processing software', 'NMR peripherals/consumables', 'User stories', 'NMR basic knowledge/history', 'CH-NMR-NP', 'Liquid/solid state NMR probes', 'NMR application note', and 'quantitative NMR'. The JEOL logo and the tagline 'Solutions for Innovation' are at the bottom right.